



# **“Vulnerable Plaque”**

## **Detected by IVUS and OCT**

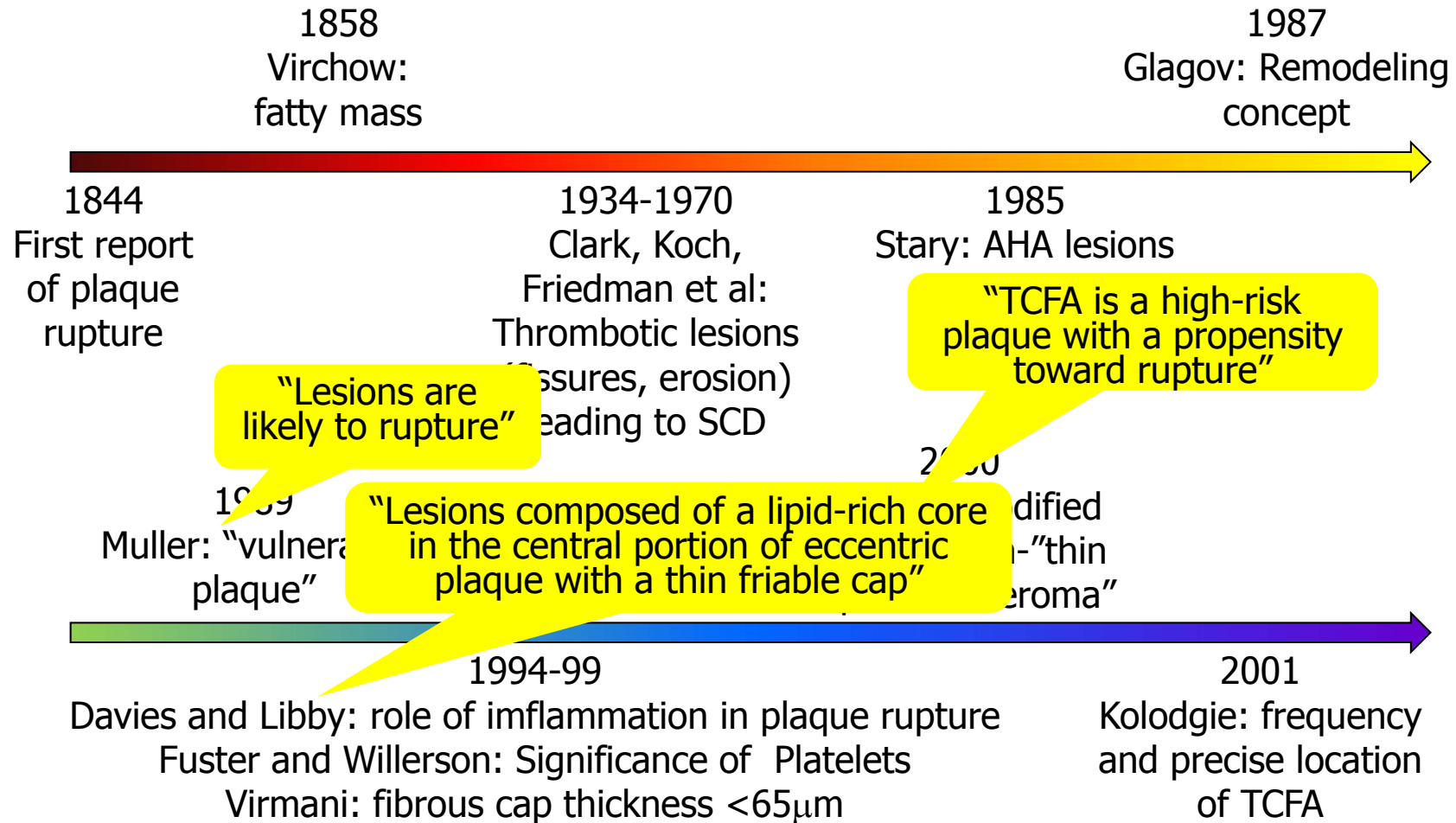
So-Yeon Choi, MD., PhD.  
Department of Cardiology  
Ajou University School of Medicine



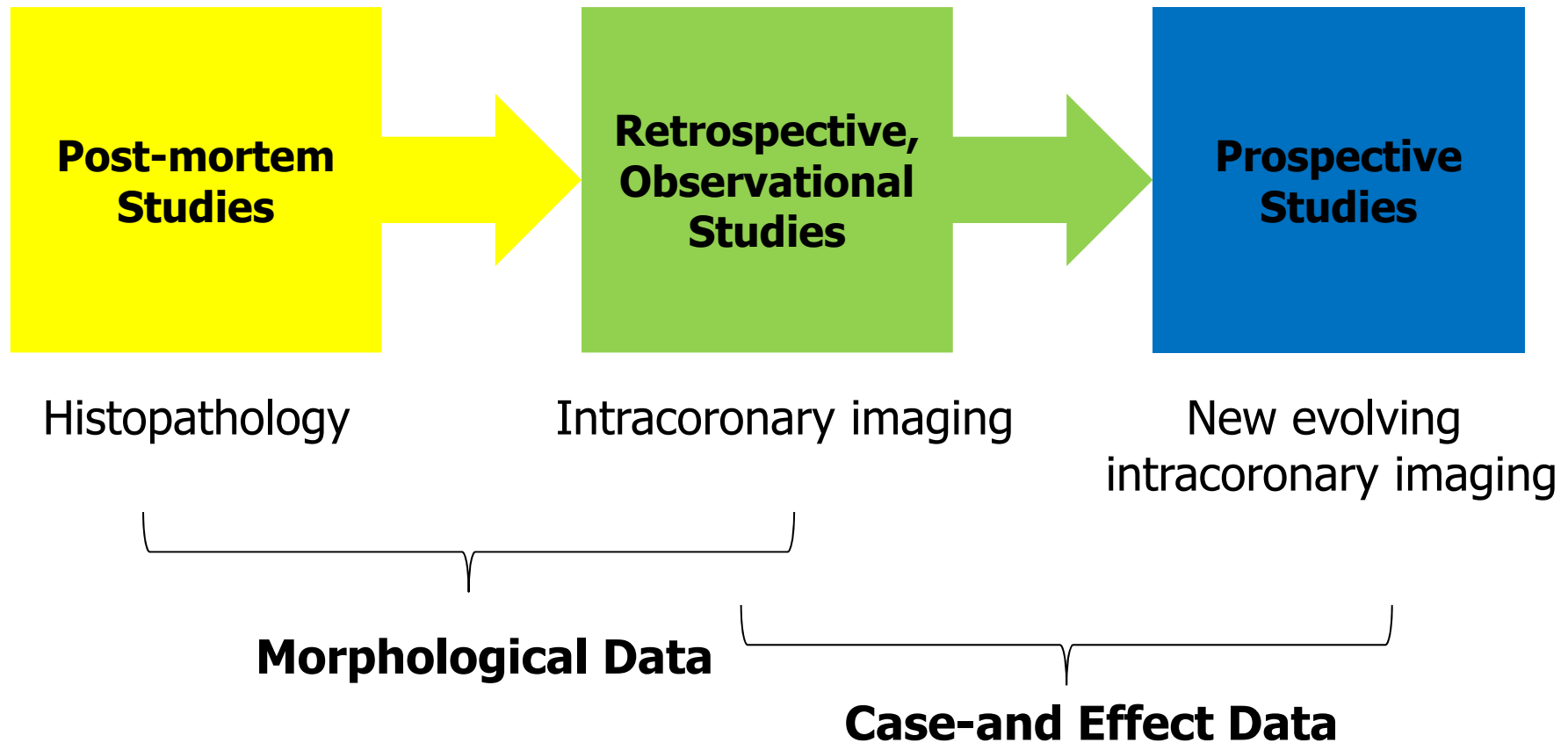
# Questions About Vulnerable Plaques

- Dose vulnerable plaque really exist?
- Should we find out vulnerable plaque?
- Which modality is the best for searching vulnerable plaque?
- What can we do for vulnerable plaque?

# History of Vulnerable Plaque

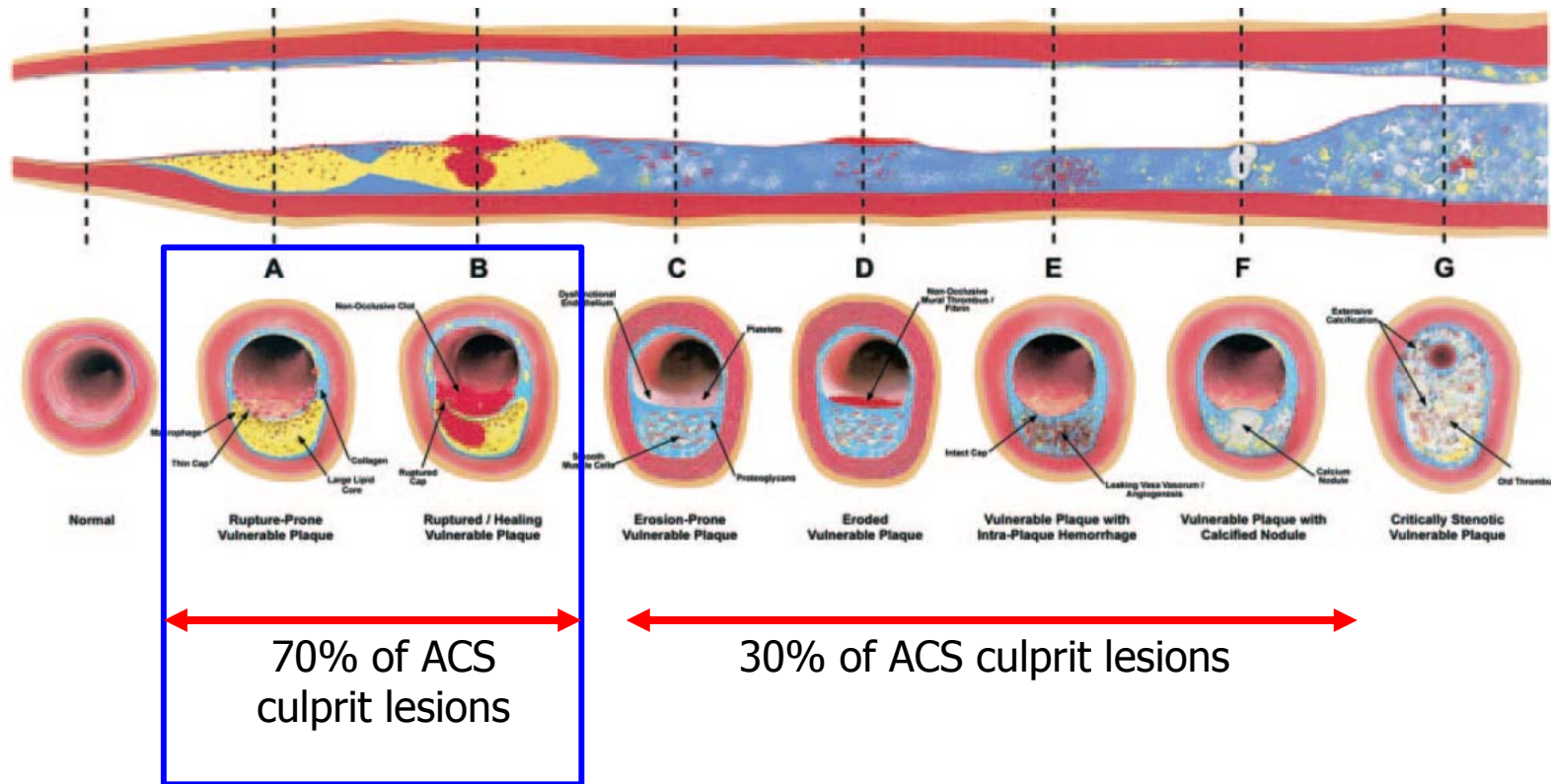


# Study Paradigm of Vulnerable Plaque



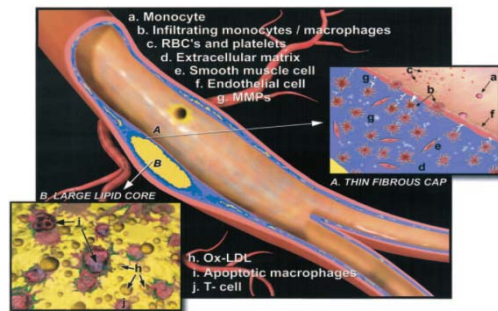
# Different Types of Vulnerable Plaques

**“Vulnerable plaque”=plaque not only prone to thrombosis/rupture but also at risk for rapid progression**



# Criteria for Defining Vulnerable Plaque

*Based on previously presented autopsy studies*



The vulnerable plaque characterized by thin fibrous cap, extensive macrophage infiltration, and large lipid core.

Naghavi M , Libby P, et al.  
Circulation. 2003;108:1664-1672

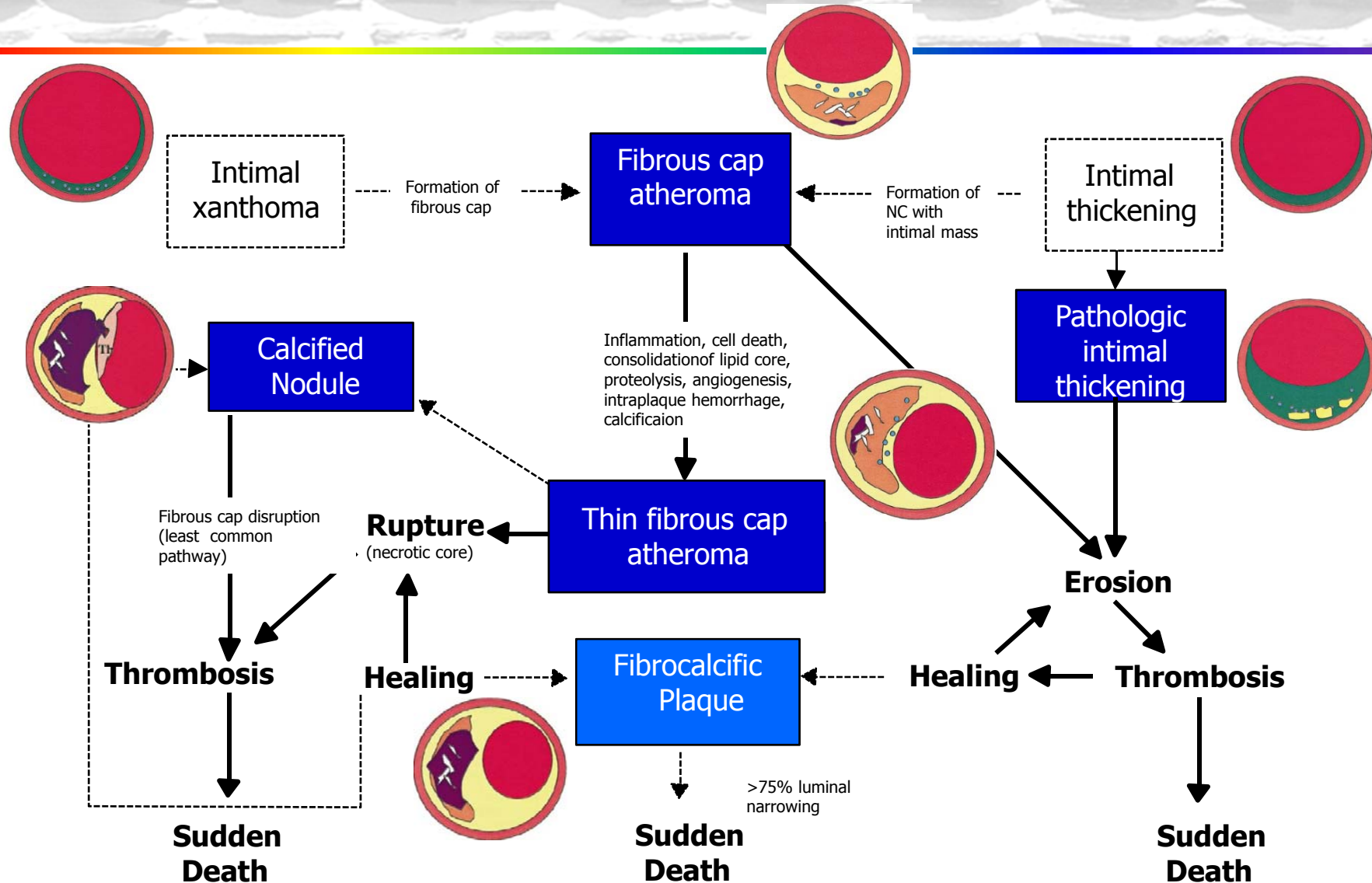
## Major criteria

- **Active inflammation (monocyte/macrophage and T-cell infiltration)**
- **Thin cap with large lipid core**
- **Endothelial denudation with superficial platelet aggregation**
- **Fissured plaque**
- **Stenosis 90%**

## Minor criteria

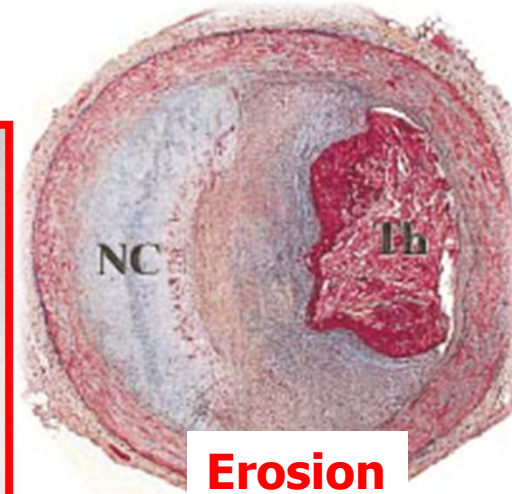
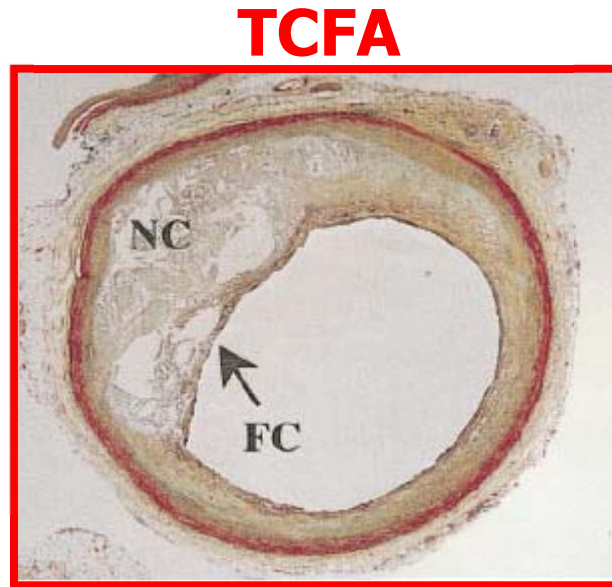
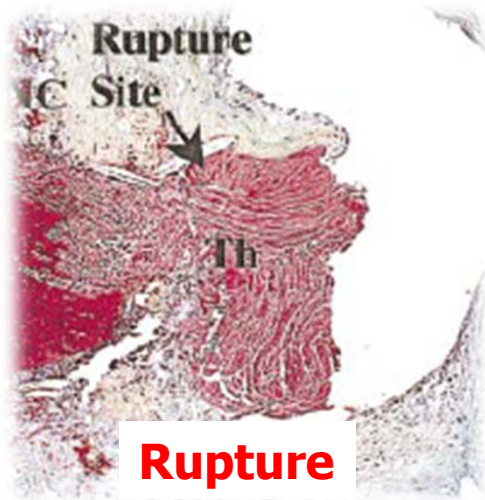
- **Superficial calcified nodule**
- **Glistening yellow**
- **Intraplaque hemorrhage**
- **Endothelial dysfunction**
- **Outward (positive) remodeling**

# Scheme for AS Plaques Related to SCD



Distribution of Culprit Plaques by Sex and Age in 241 Cases of SCD  
 Virmani R et al, Arterioscler Thromb Vasc Biol 2000;20;1262-1275

# Thin Cap Fibroatheroma: TCFA



## Lipid Core

>10% area of the plaque  
 3mm<sup>2</sup> in 75% of case  
 Length:2-17mm (mean 8mm)

## Fibrous Cap

<65  $\mu$ m  
 Mean cap thickness  $\pm$ 2SD of  
 ruptured plaque





## Intimal Inflammation

Macrophage infiltration  
 >25 cell/0.3mm diameter

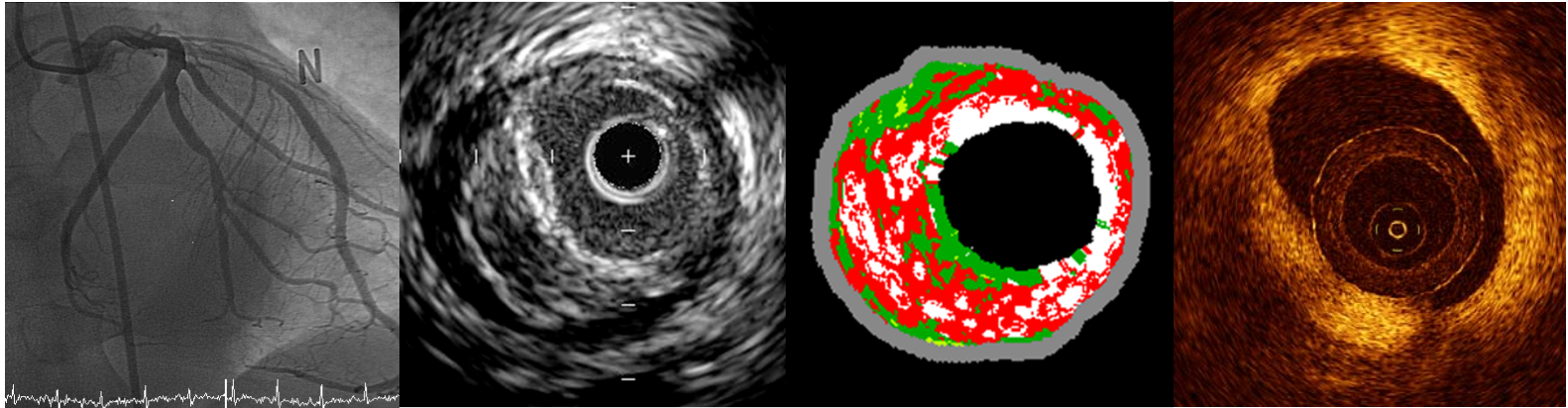
Burke AP et al. N Engl J Med 1997;336:1276-82  
 Virmani R et al. J Interv Cardiol 2003;16:267-72  
 Virmani R et al. JACC 2006;47:C13



# What we learned from pathologic studies...

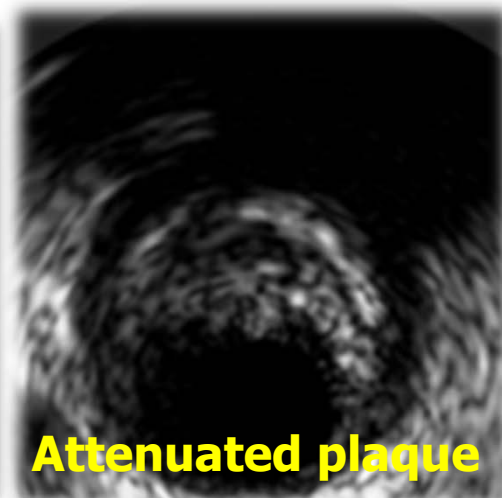
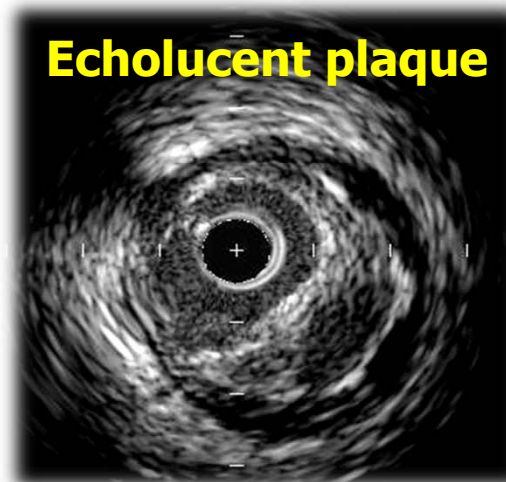
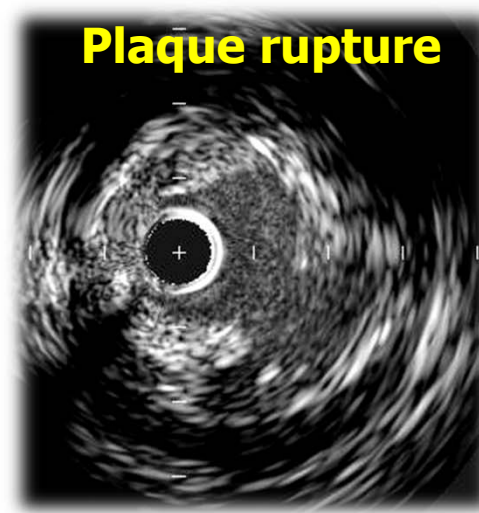
-  Vulnerable plaque characterized by thin fibrous cap, extensive macrophage infiltration, paucity of smooth muscle cells, and large lipid core.
-  1) Abluminal expansion of the arterial wall and 2) subclinical plaque rupture of hemodynamically insignificant lesions are involved in the growth of advanced plaque.
-  2/3 of lesions showed <75% cross sectional luminal narrowing (<50% DS).
-  Vulnerable plaque has pre-dominant lesion location. 1/2 of the TCFAs occur in the proximal portions of the major coronary arteries (LAD>LCX>RCA).

# Imaging Modalities in Cath Lab



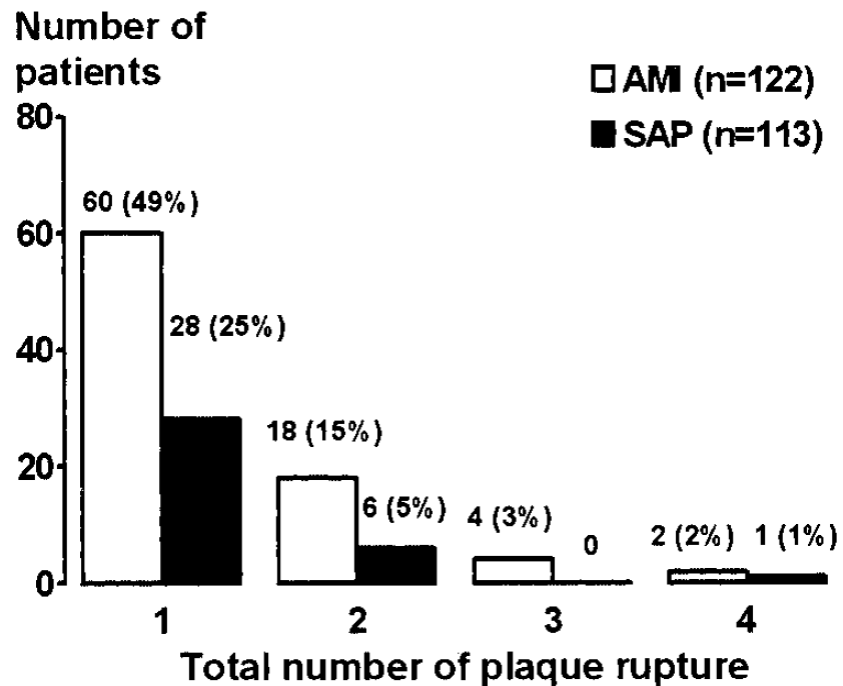
	<b>Angiography</b>	<b>IVUS</b>	<b>VH-IVUS</b>	<b>OCT</b>
<b>Type of source</b>	<b>X-ray</b>	<b>Ultrasound</b>	<b>Ultrasound (RF)</b>	<b>Near-IR light</b>
<b>Resolution (μm)</b>	<b>100-200</b>	<b>80-120</b>	<b>80-120</b>	<b>10-40</b>
<b>Probe size (mm)</b>	<b>n/a</b>	<b>0.7</b>	<b>0.7</b>	<b>0.14</b>
<b>Scan area</b>	<b>n/a</b>	<b>10-15mm</b>	<b>10-15mm</b>	<b>6-7mm</b>
<b>Other</b>	<b>Images blood flow "luminogram"</b>	<b>Subsurface tomogram</b>	<b>Subsurface tomogram</b>	<b>Subsurface tomogram</b>

# Vulnerable Plaque로 생각되는 동맥경화반은?

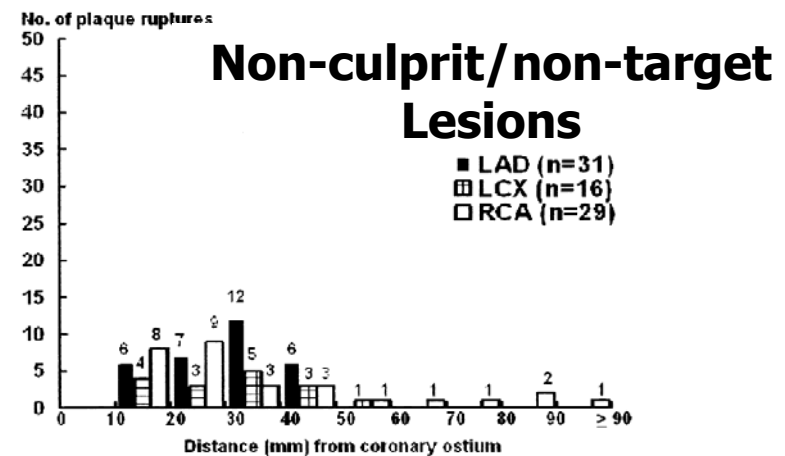
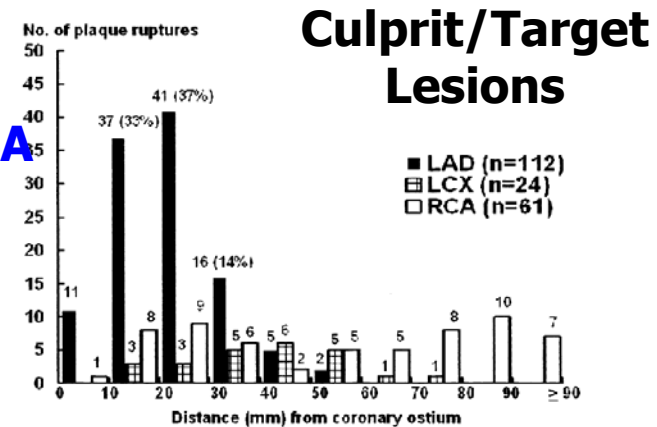


# PRs: Three-vessel IVUS studies

**122 AMI vs 113 SA**  
**Culprit PR: 66% in AMI, 27% in SA**  
**Non-culprit PR: 17% in AMI, 5% in SA**



Hong MK et al.,  
 Circulation. 2004;110:928-933

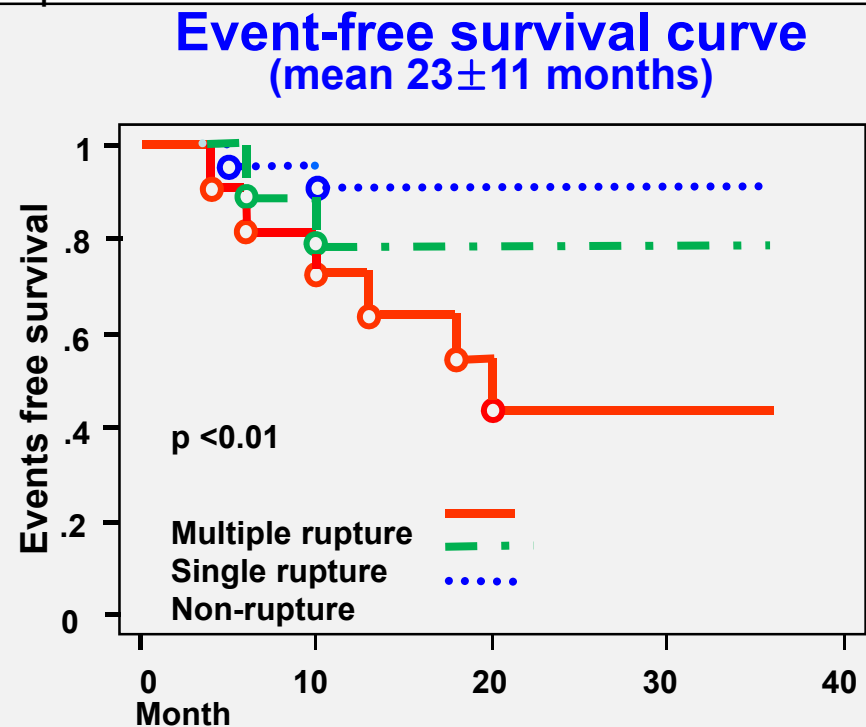


Hong MK et al.,  
 J Am Coll Cardiol. 2005;46:261-265.

# Multiple plaque rupture and C-reactive protein in acute myocardial infarction

45 infarct-related arteries and another 84 major coronary arteries in 45 AMI patients.  
PR at the culprit site: 47%, Multiple PRs: 24% of patients.

- Multiple risk factors were more frequently found in multiple-PR patients compared with single-rupture or non-rupture patients (82% vs. 40% vs. 29%,  $p = 0.01$ ).
- Hs-CRP levels had a positive correlation with the number of PRs ( $p < 0.01$ ).



Conclusion: Multiple PR is associated with systemic inflammation, and patients with multiple PR can be expected to show a poor prognosis.

# IVUS Assessment of PR

## ACS Culprit vs ACS non-culprit vs Non-ACS

- 80 PRs in 74 patients
- 35 ACS culprit vs 19 ACS non-culprit vs 26 Non-ACS

	ACS culprit	ACS non-culprit	Non-ACS	P Value
Thrombus, %	60	32	8	0.001
Proximal location of rupture, %	80	74	50	0.04
MLA, mm <sup>2</sup>	3.5±1.5	5.3±2.6	6.0±3.0	<0.001
Lumen CSA at PR, mm <sup>2</sup>	4.6±1.7	6.6±2.8	7.3±3.3	<0.001
Plaque burden, %	76±17	68±9	65±13	<0.001
Remodeling index	1.26±0.21	1.22±0.23	1.09±0.05	0.002

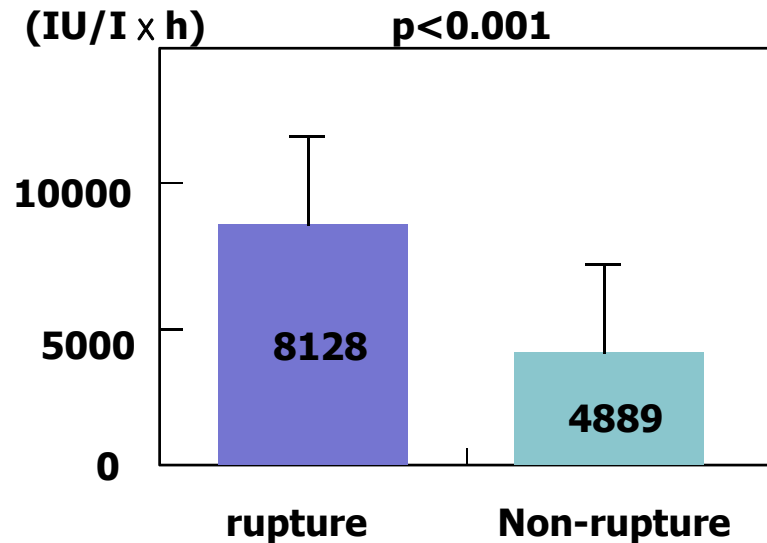
Multivariate analysis identified a smaller minimum lumen area (p=0.01) and presence of thrombus (p=0.01) as independent predictors of ACS.

Fujii et al., Circulation. 2003;108:2473-2478.

# PRs associated with poor outcomes after PCI

## Infarct Size

91 patients with acute STEMI  
54 with PR vs 37 without PR



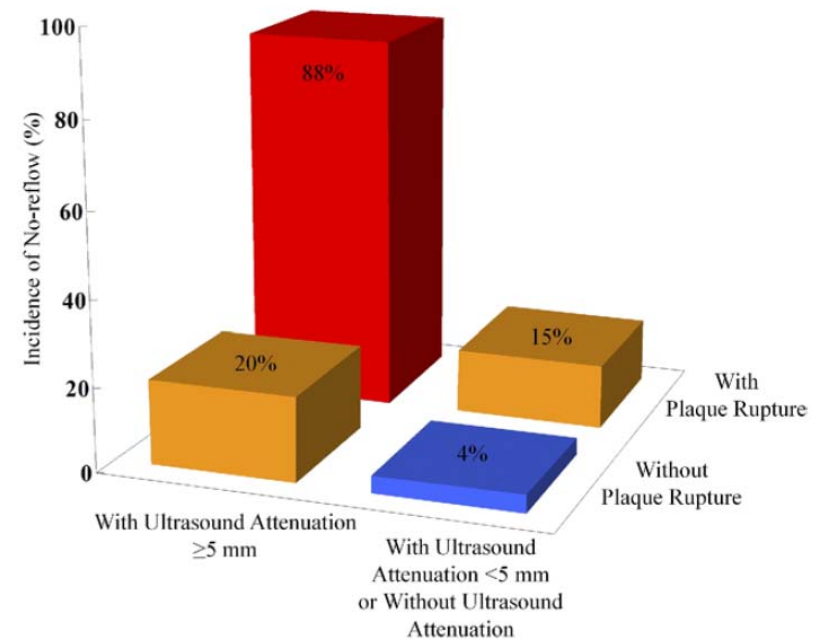
## AUC of CK- MB

Kusama et al., J Am Coll Cardiol. 2007;50:1230-1237

Endo et al., J Am Coll Cardiol Interv 2010;3:540-549

## No Reflow

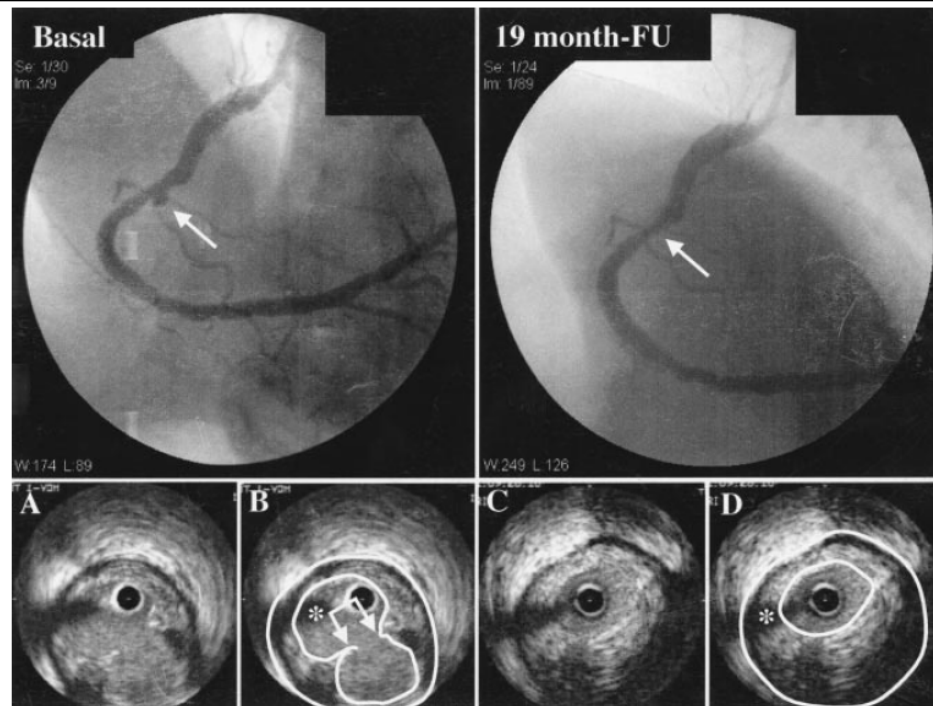
170 patients with acute STEMI  
underwent PCI within 12 h



# ACS PR without significant stenosis healed with medication

14 patients with 28 distinct PRs without significant stenosis  
22 months (median) follow-up with 40mg statin and antiplatelet agent (clopidogrel and aspirin for 9 months)

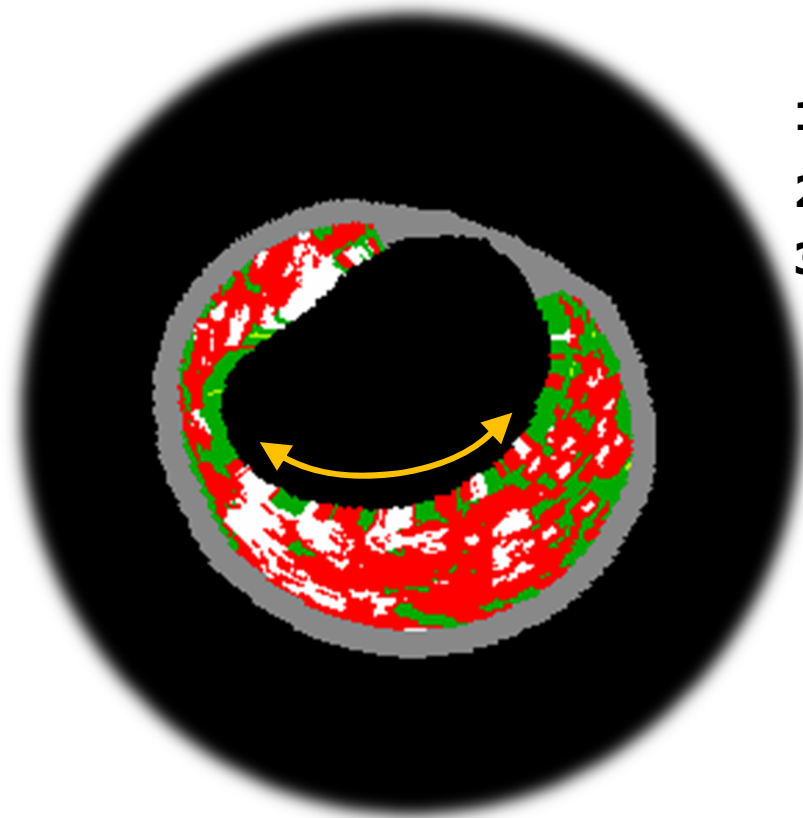
- **No clinical event related to the lesion under study occurred.**
- **On final IVUS, 50% PRs had healed, and the degree of stenosis tended to diminish (stenosis,  $22 \pm 17\%$  vs  $29 \pm 17\%$  at baseline;  $P=0.056$ ).**
- ***No healing-prediction criterion could be identified.***



Rioufol et al., Circulation. 2004;110:2875-2880.



# VH-Thin cap fibroatheroma (VH-TCFA)



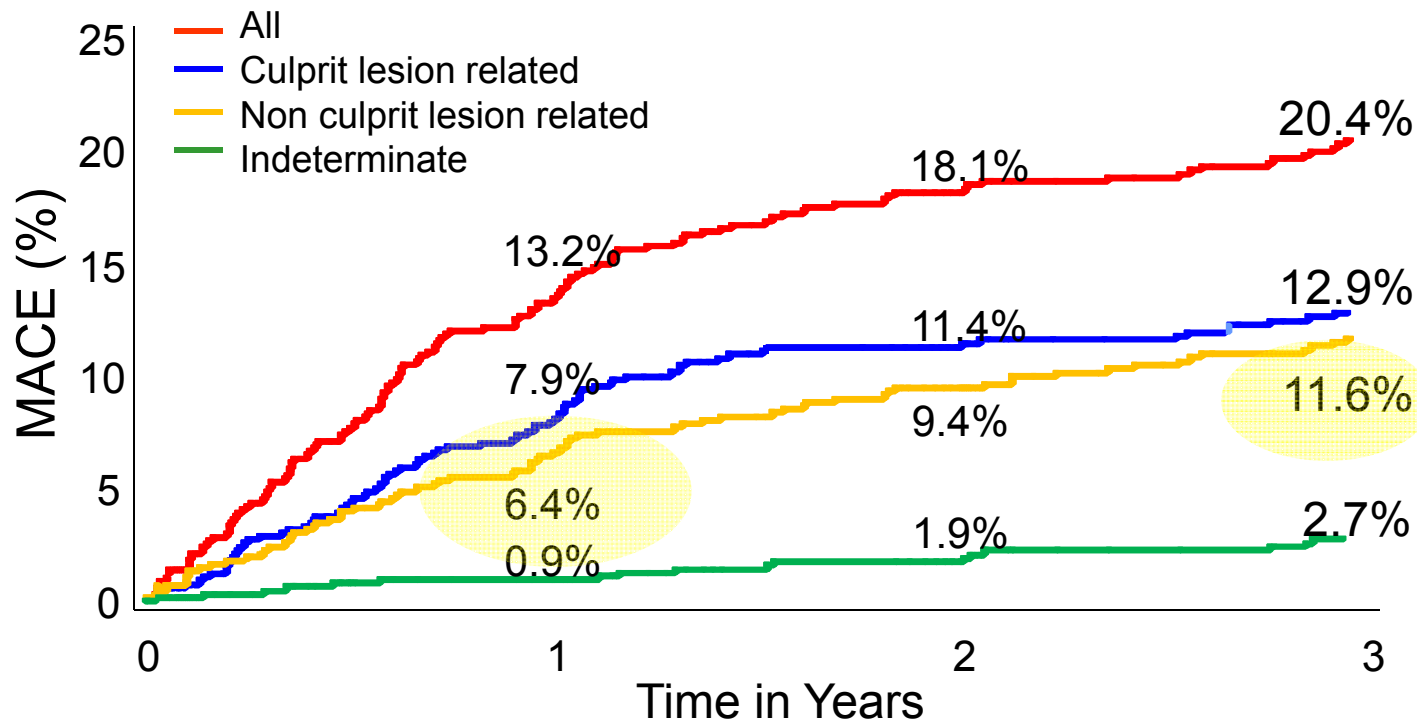
1. Confluent NC > 10%
2. 30° NC abutting the lumen
3. 3 consecutive frames  
(= 1.5mm in length)

**Thin cap < 65  $\mu\text{m}$  (less than the 200  $\mu\text{m}$  resolution of IVUS)**

# Prospective Natural-Histology Study of Coronary Atherosclerosis

## PROSPECT: MACE

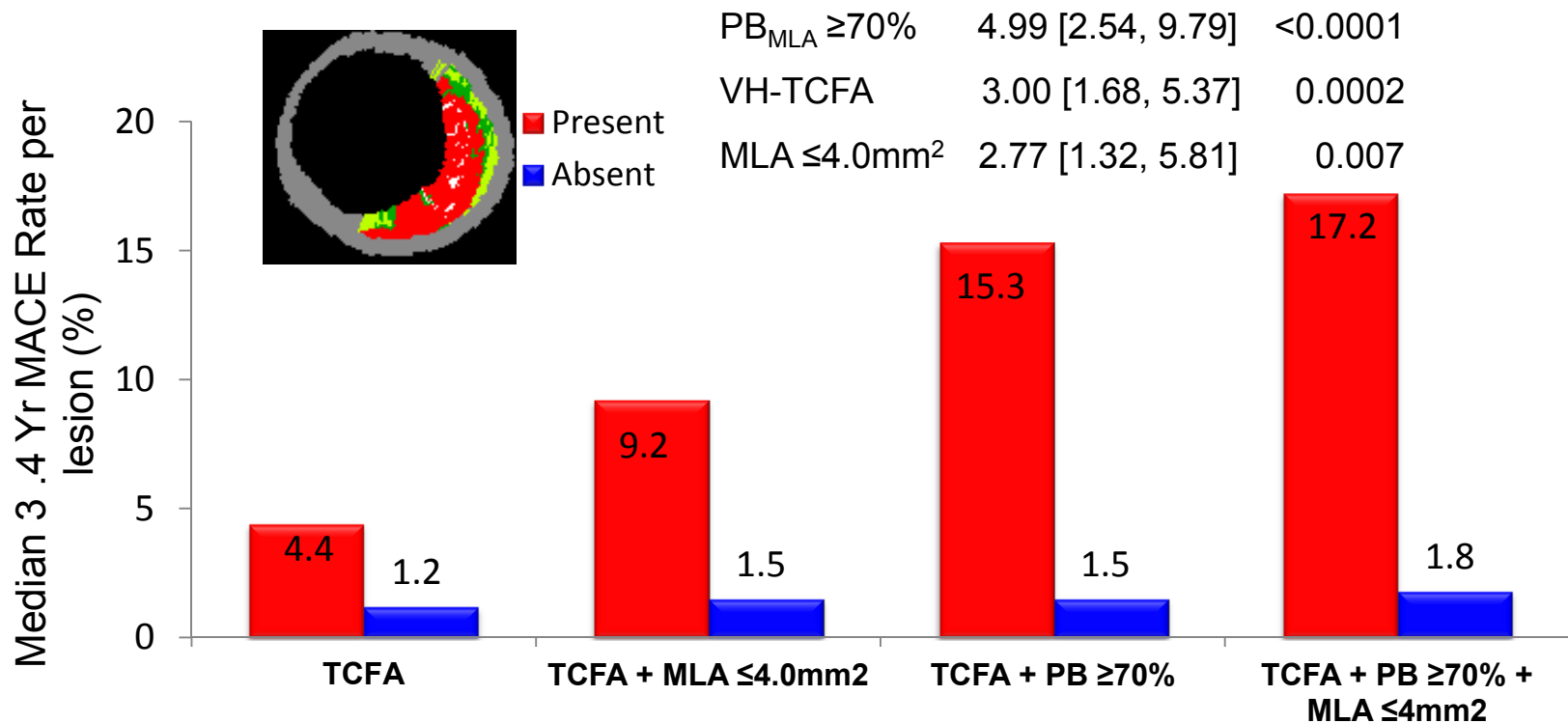
697 patients with ACS underwent PCI and 3V imaging study



Stone GW et al. N Engl J Med. 2011;364(3):226-35.

# Predictors of Events in Non-culprit Lesion

## PROSPECT: Non-culprit Lesion Related Events



PB<sub>MLA</sub>  $\geq 70\%$  4.99 [2.54, 9.79] <0.0001

VH-TCFA 3.00 [1.68, 5.37] 0.0002

MLA  $\leq 4.0\text{mm}^2$  2.77 [1.32, 5.81] 0.007

Lesion HR	3.84 (2.22, 6.65)	6.41 (3.35, 12.24)	10.77 (5.53, 21.00)	10.81 (4.30, 27.22)
P value	<0.0001	<0.0001	<0.0001	<0.0001
Prevalence*	51.2%	17.4%	11.0%	4.6%

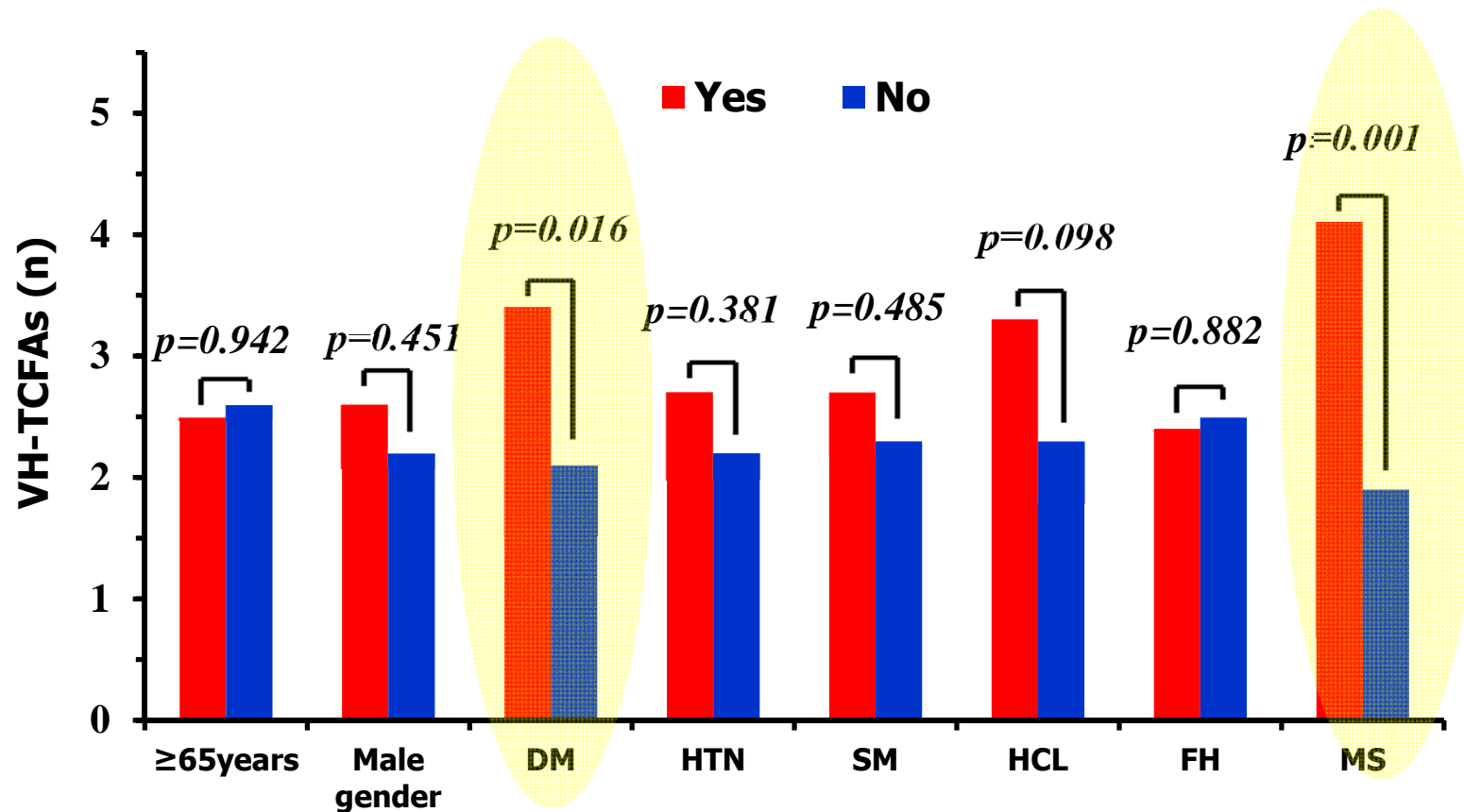
Stone GW et al. N Engl J Med. 2011;364(3):226-35.

# Plaque Components and Risk Factors

## *A Three-Vessel VH-IVUS Analysis*

“Whole vessel” VH-IVUS analysis was performed in 189 vessels of 63 patients.

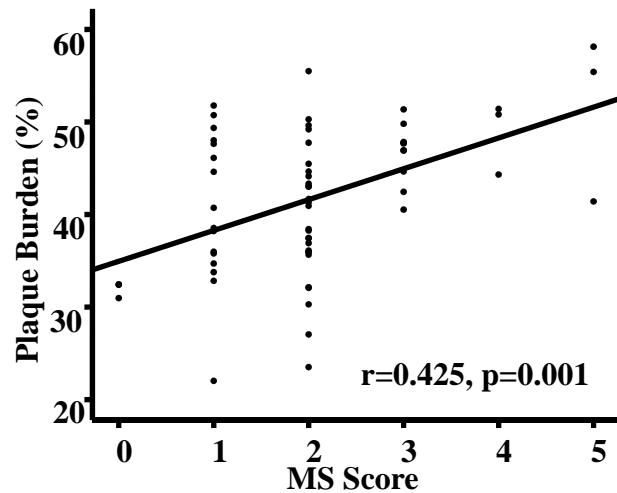
### VH-TCFAs in regard to being with or without risk factors



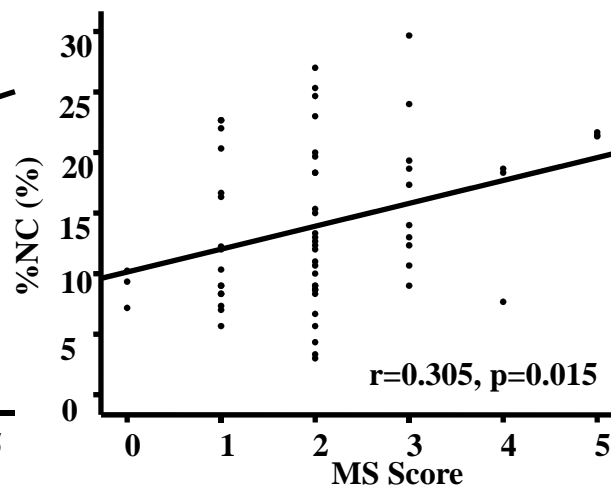
# Plaque Components and Risk Factors

The correlation between the plaque components and the metabolic syndrome scores

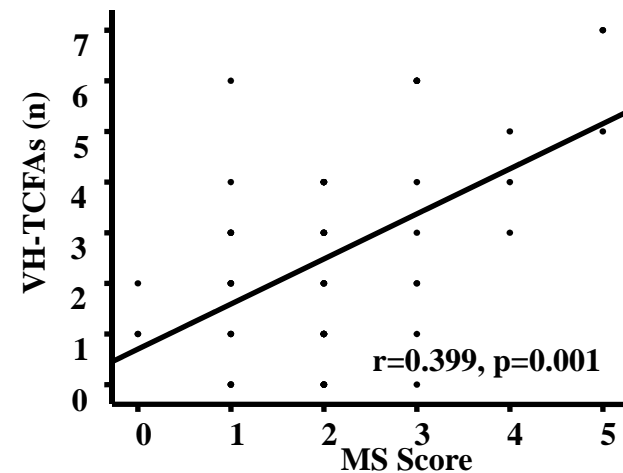
Plaque Burden (%)



% Necrotic Core (%)



VH-TCFAs (%)



# Plaque Components and Risk Factors

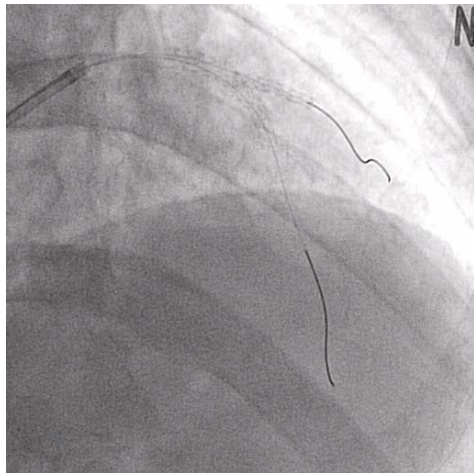
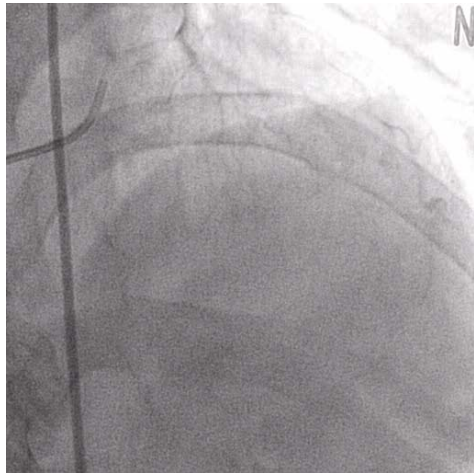
## Multivariate predictors of the PB, the % NC and the VH-TCFAs

Parameters	B coefficient	95% CI	<i>p</i> value
<b>Predictors of mean P+M</b>			
≥65 years			<b>0.046</b>
Diabetes mellitus			<b>0.013</b>
Metabolic syndrome*			
<b>Predictors of % NC</b>			
Diabetes mellitus			<b>0.014</b>
Metabolic syndrome* (Y/N)			0.074
<b>Predictors of VH-TCFAs</b>			
Diabetes mellitus	0.699	-0.282-1.680	0.159
Metabolic syndrome* (Y/N)	2.230	1.269-3.192	<b>&lt;0.001</b>

**3V VH-IVUS analysis showed that DM and MS patients had a larger PB, larger amount of NC, and more frequent VH-TCFAs in coronary arterial trees implying greater plaque vulnerability in DM and MS patients.**

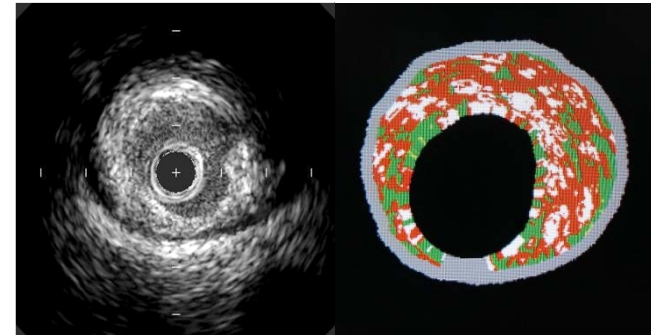
# Case: VP related with PCI complication

M/65 with UA  
CV RF: Smoking

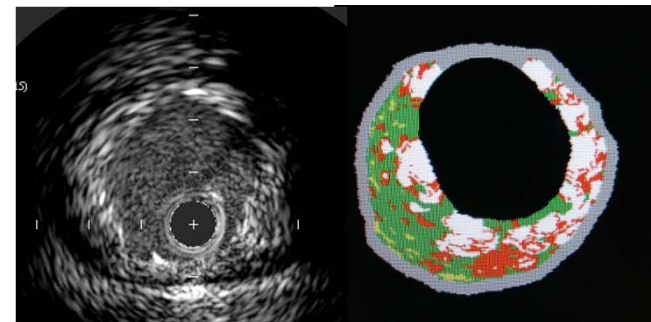


Two Cypher™ Select™ stents (3.5×33 mm in LAD and 2.5×18 mm in D1) with minimal crushing technique

**Pre-intervention**



**Post-PCI**

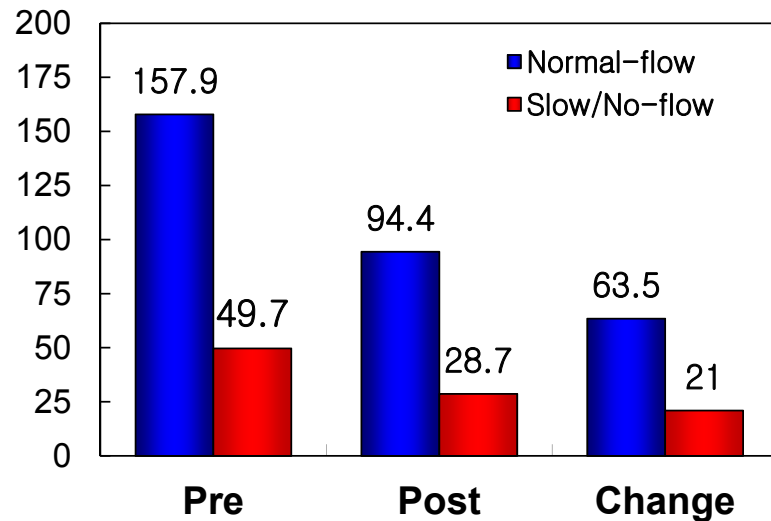


	Pre	Post	Change
Fibrous, mm <sup>2</sup> (%)	171.9(37%)	176.3(43%)	4.4(6)
Fibrofatty, mm <sup>2</sup> (%)	69.7(15%)	28.7(7%)	-41(-8)
Calcium, mm <sup>2</sup> (%)	65.1(14%)	110.7(27%)	45.7(13)
Necrotic core, mm <sup>2</sup> (%)	157.9(33%)	94.4(21%)	-63.5(12)

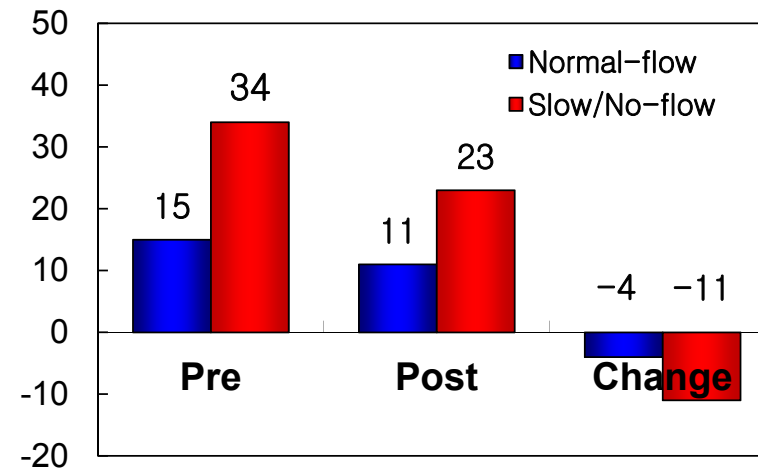
# Change of Plaque Component Related to Slow-flow

VH plaque components in 64 lesions of 58 patients (ACS=27, SA=31)  
Comparison between Normal-flow (n=47) vs Slow/No-flow (n=17)

**Absolute volume of NC, mm<sup>3</sup>**



**% volume of NC, %**



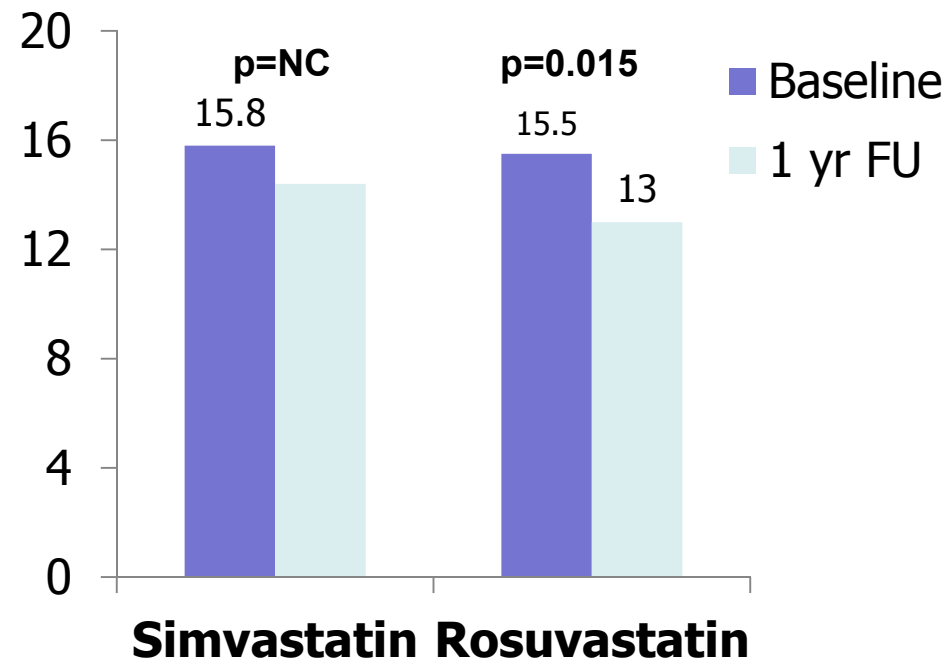
Reduction of absolute volume and % volume of necrotic core component of the plaque was related to microvascular injury after coronary stenting .



# Medial Tx reduces plaque vulnerability





100 patients, 12 month FU  
50 simvastatin 20mg vs 50 rosuvastatin 10mg

## Necrotic Core Volume, mm<sup>3</sup>

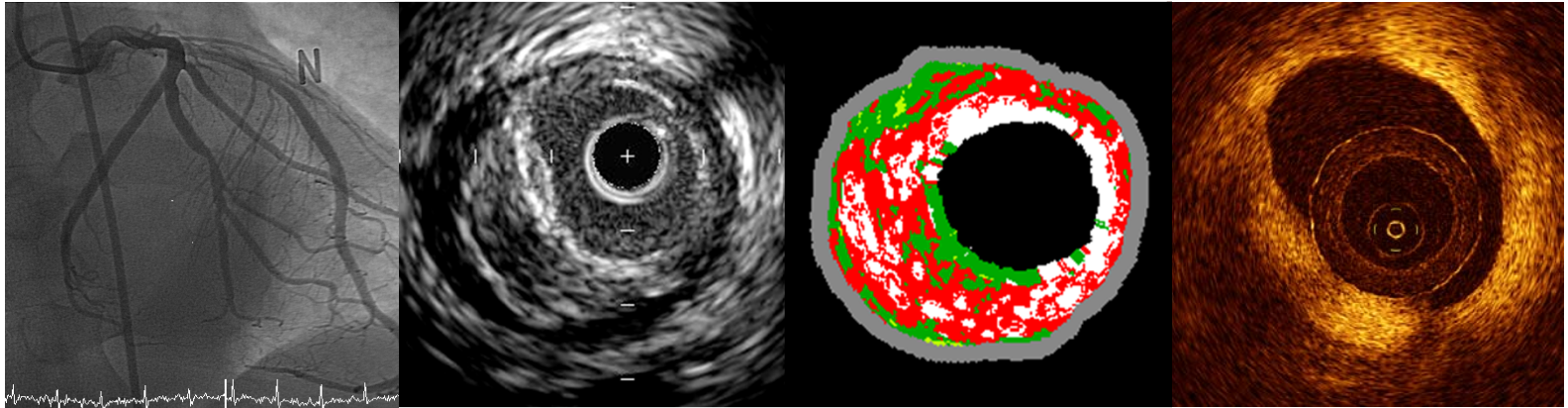


Hong MK et al,  
JACC Cardiovasc Interv.  
2009;2(7):679-88.

# What we learned from IVUS studies...

-  Intracoronary imaging served an understanding toward the natural history of the vulnerable plaque.
-  Vulnerable plaque is predominant in ACS and might be a marker of the extensive inflammatory reaction of atherosclerosis. But VP could be also found in non-culprit lesions.
-  Plaque with some vulnerable IVUS-features are at the risk of acute (or late) complication during PCI.
-  PROSPECT provided prospective in vivo confirmation of the hypothesis that ACSs arise from atheromas with certain histopathological characteristics, and that these characteristics are not necessarily dependent on the degree of angiographic stenosis at that site.

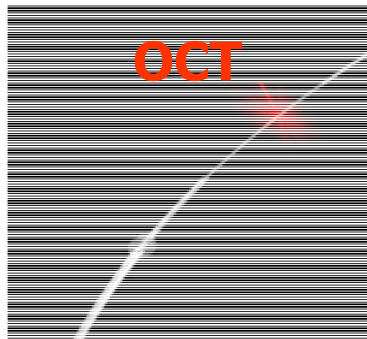
# Imaging Modalities in Cath Lab



	<b>Angiography</b>	<b>IVUS</b>	<b>VH-IVUS</b>	<b>OCT</b>
<b>Type of source</b>	<b>X-ray</b>	<b>Ultrasound</b>	<b>Ultrasound (RF)</b>	<b>Near-IR light</b>
<b>Resolution (μm)</b>	<b>100-200</b>	<b>80-120</b>	<b>80-120</b>	<b>10-40</b>
<b>Probe size (mm)</b>	<b>n/a</b>	<b>0.7</b>	<b>0.7</b>	<b>0.14</b>
<b>Scan area</b>	<b>n/a</b>	<b>10-15mm</b>	<b>10-15mm</b>	<b>6-7mm</b>
<b>Other</b>	<b>Images blood flow "luminogram"</b>	<b>Subsurface tomogram</b>	<b>Subsurface tomogram</b>	<b>Subsurface tomogram</b>

# Criteria for Defining Vulnerable Plaque

Based on the autopsy study



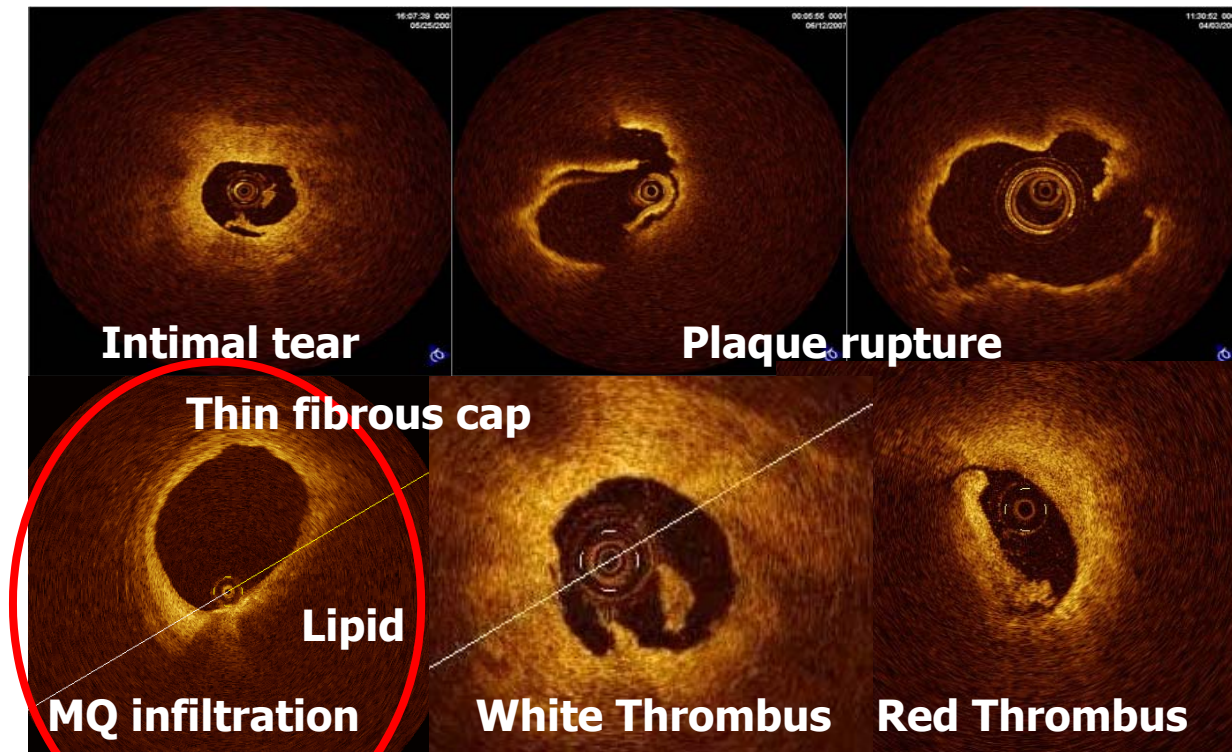
## Major criteria

- Active inflammation (monocyte/macrophage and T-cell infiltration)
- Thin cap with large lipid core
- Endothelial denudation with superficial platelet aggregation
- Fissured plaque
- Stenosis 90%

## Minor criteria

- Superficial calcified nodule
- Glistening yellow
- Intraplaque hemorrhage
- Endothelial dysfunction
- Outward (positive) remodeling

# Detection of VP in OCT

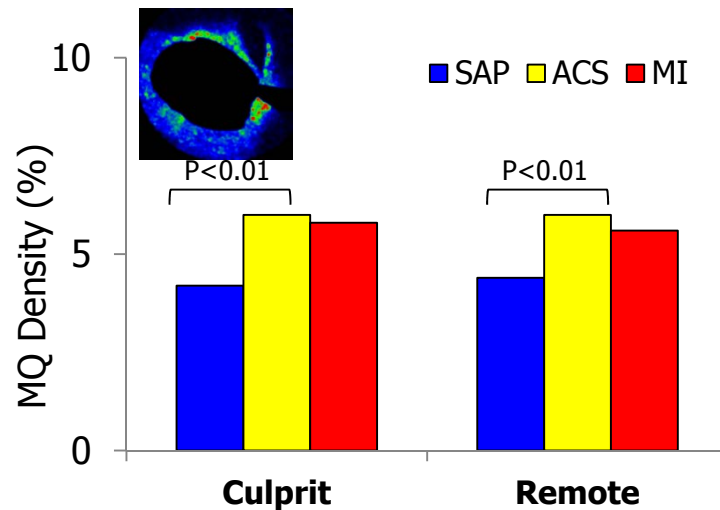


From Ajou OCT registry

# Detection of VP in OCT

119 lipid rich plaques in 49 patients  
49 AMI; 46 ACS; 24 SAP

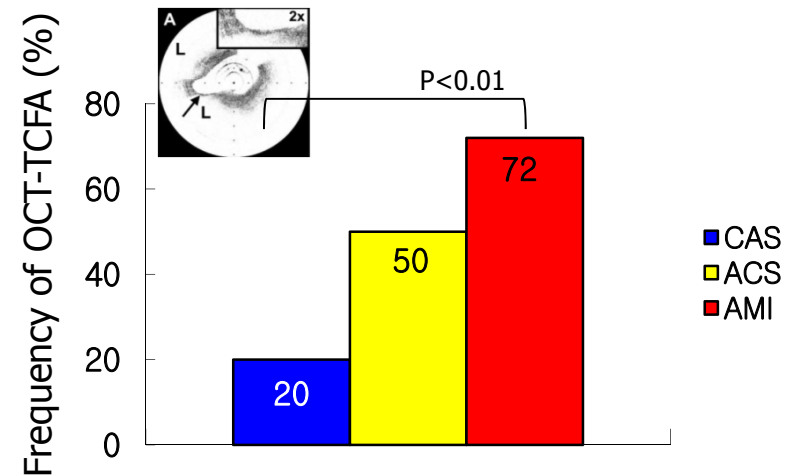
## Macrophage Accumulation



Briain D et al. JACC 2004;44:972-9

57 patients: 20 AMI, 20 ACS, 17 SAP

## Thin Fibrous Cap

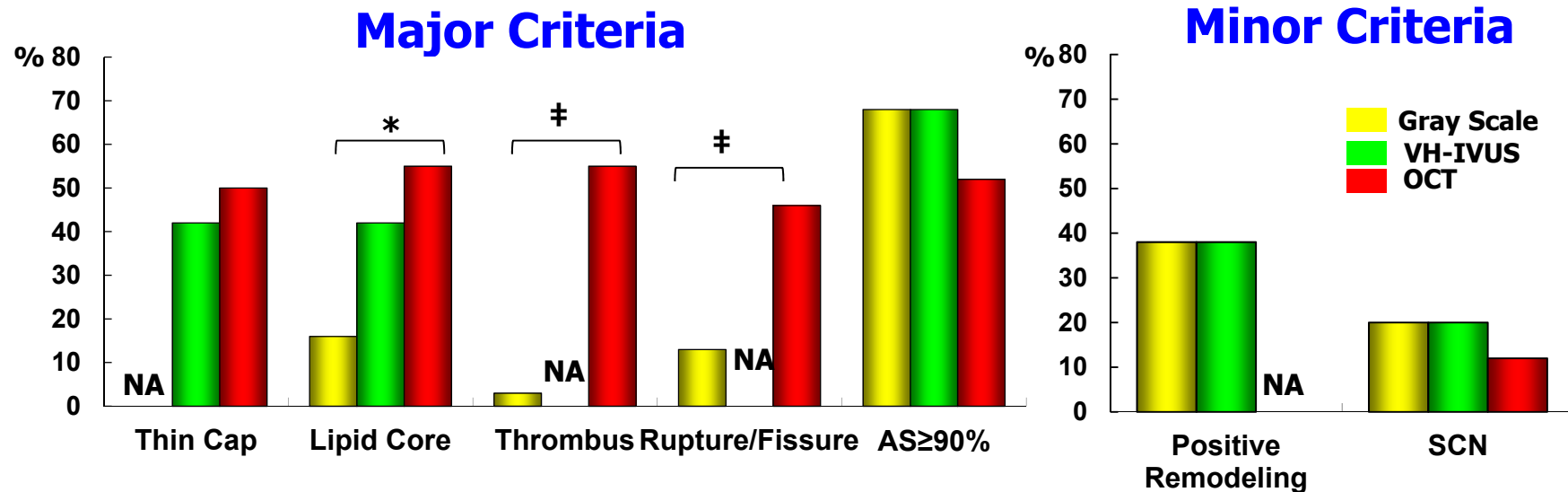


Jang IK et al. Circulation. 2005;111:1551-5

# Ability of Detection for VP

## IVUS vs. VH-IVUS vs. OCT

95 Patients (95 lesions) were enrolled and categorized according to their clinical presentation into SAP (n=31) and ACS (n=64).

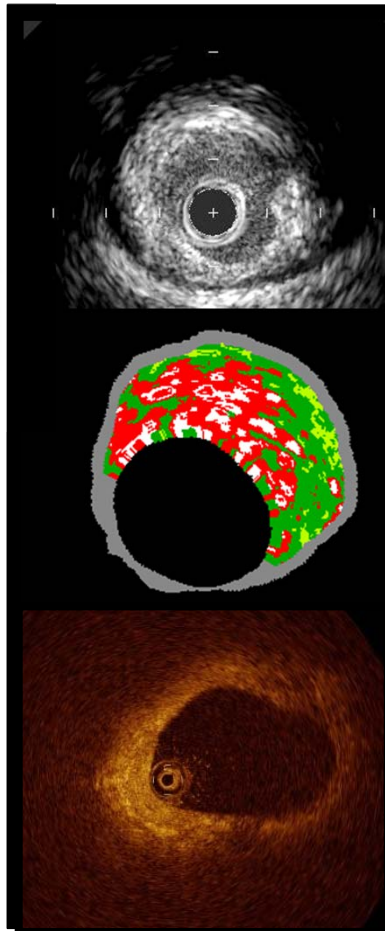


\*p<0.05 among 3 modalities, †p<0.05 between GS vs. OCT, ‡p<0.05 between VH-IVUS vs. OCT

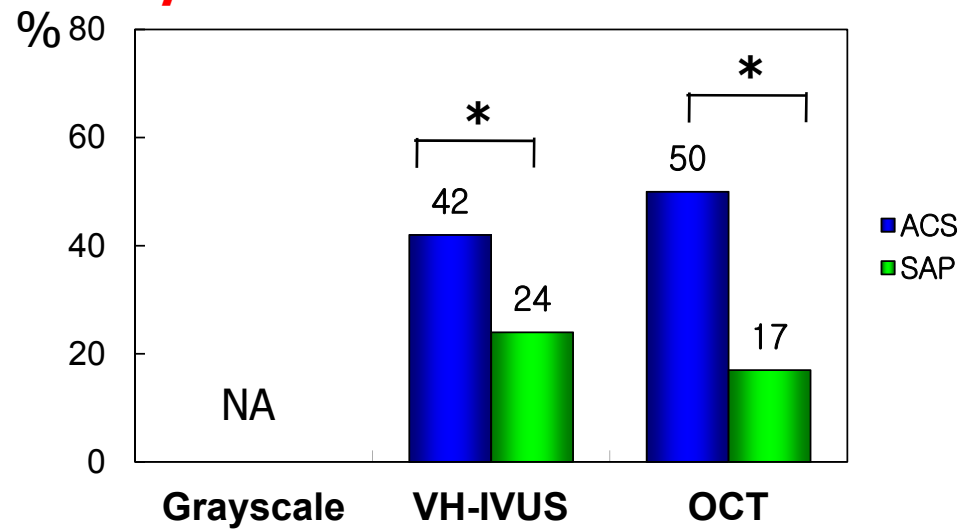
Choi SY et al, TCT 2008  
Ajou OCT registry

# Ability of Detection for VP

## IVUS vs. VH-IVUS vs. OCT



### The Incidence of TCFA Comparison between ACS and SAP



\* $p < 0.05$  between ACS vs. SAP, † $p < 0.05$  between VH-IVUS vs. OCT

Choi SY et al, TCT 2008  
Ajou OCT registry

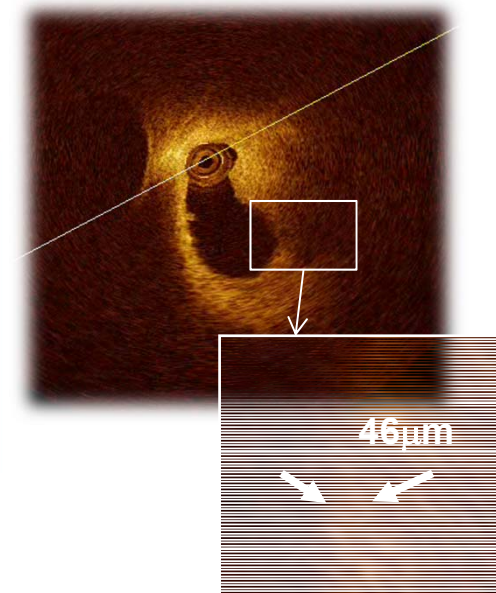
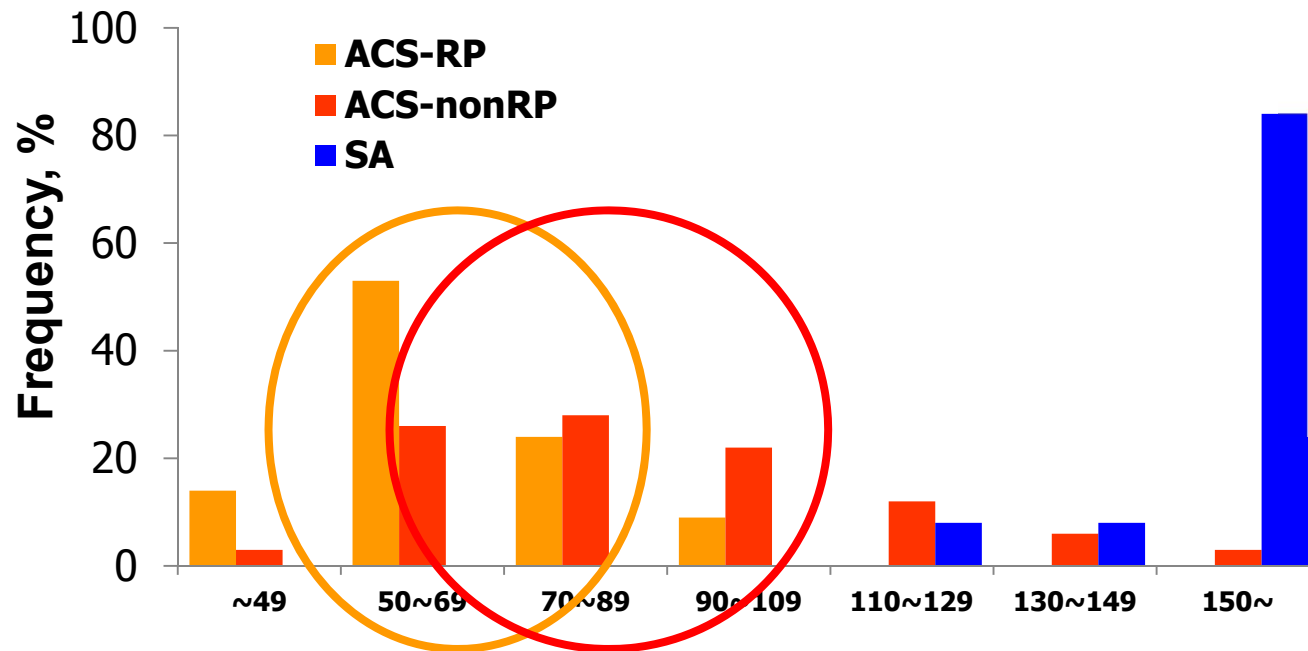


# Ability of Detection for VP

## Observation by OCT

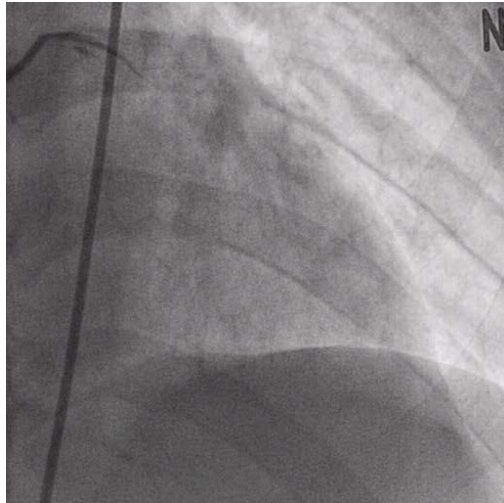
ACS-Ruptured Plaque (n=43) vs ACS-Non ruptured plaque (n=21)  
vs Stable plaque (n=31)

### Fibrous Cap Thickness, $\mu\text{m}$

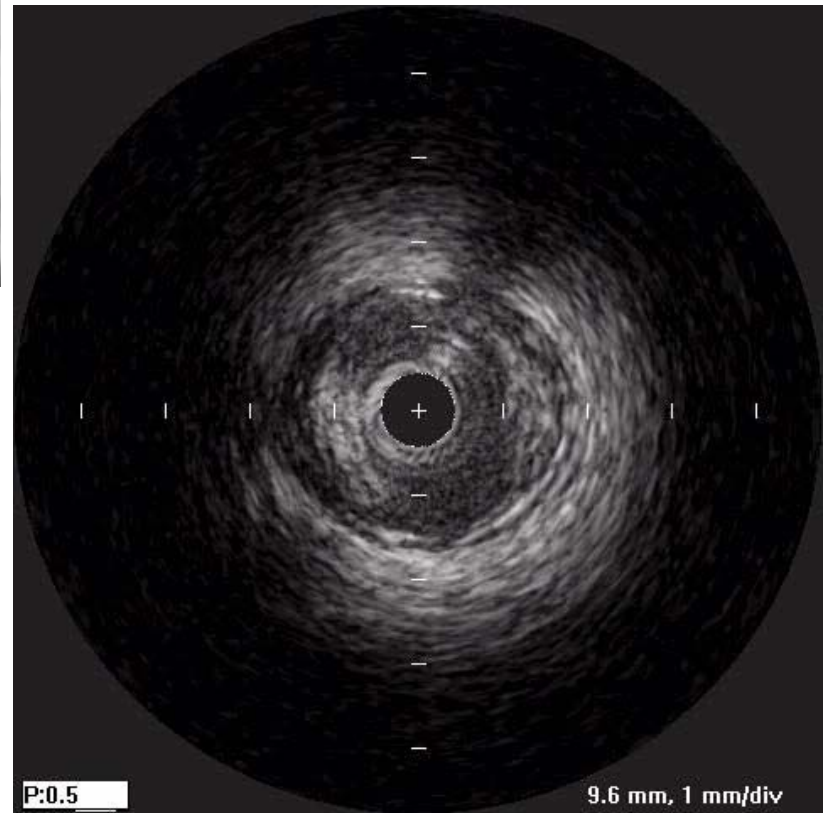
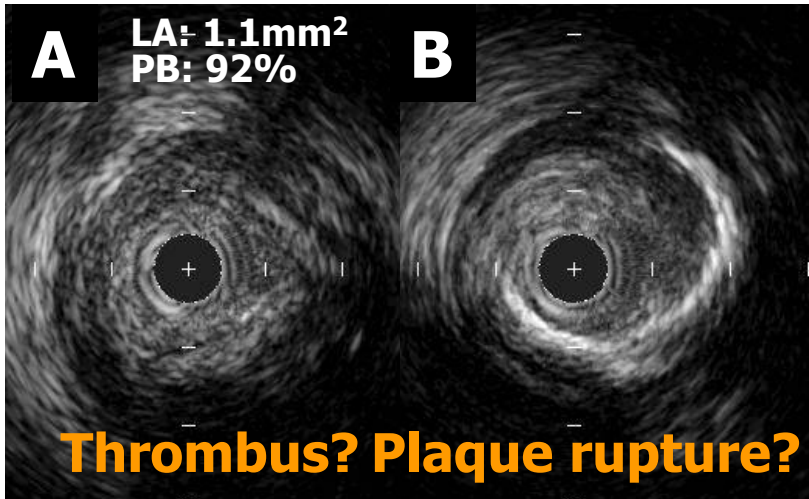
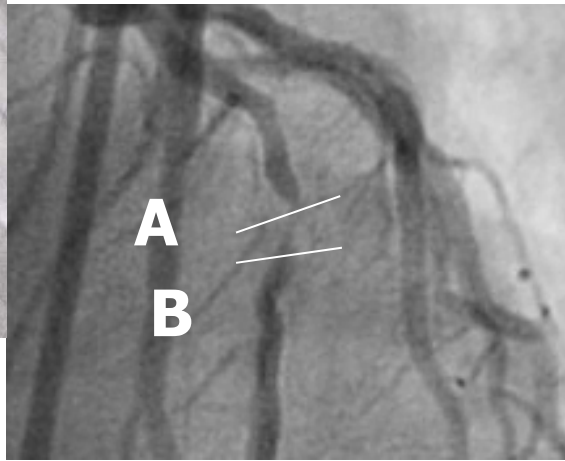


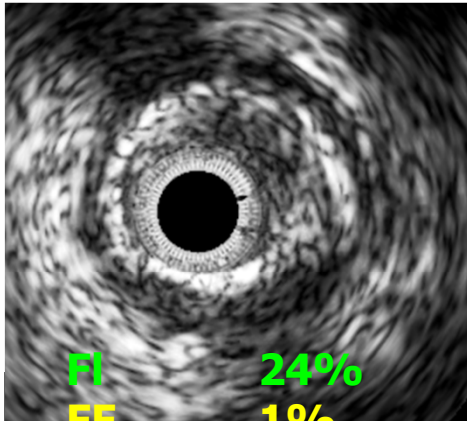
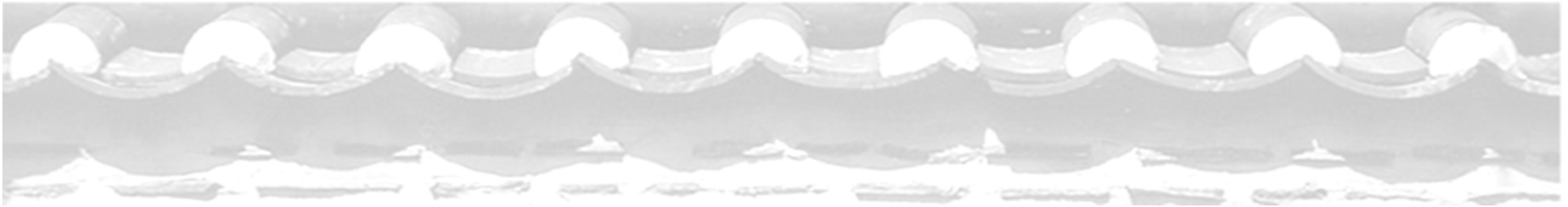
Choi SY et al, ACC2010  
Ajou OCT registry

# Case: OCT is the best tool for evaluation of VP I

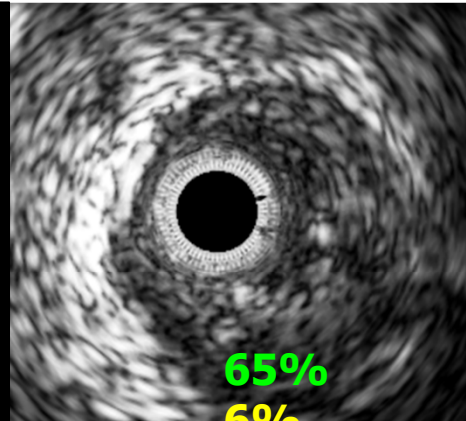
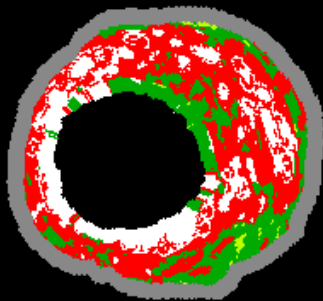


*SJS, 46/M*  
*NSTEMI, anterior*

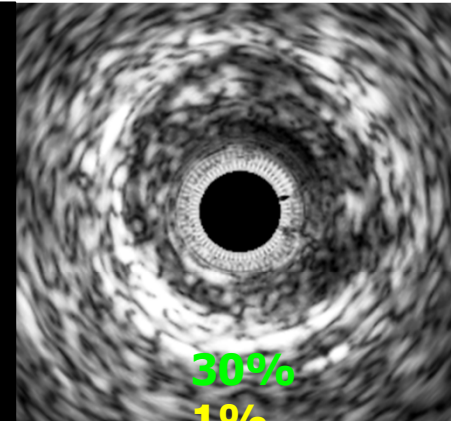
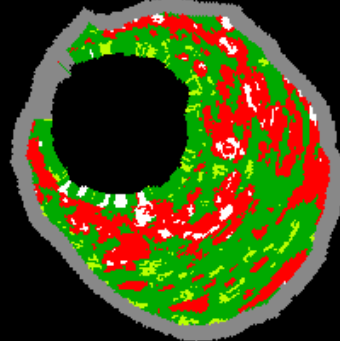




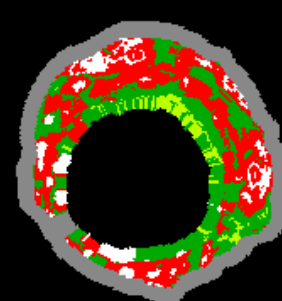
**FI** 24%  
**FF** 1%  
**DC** 27%  
**NC** 48%

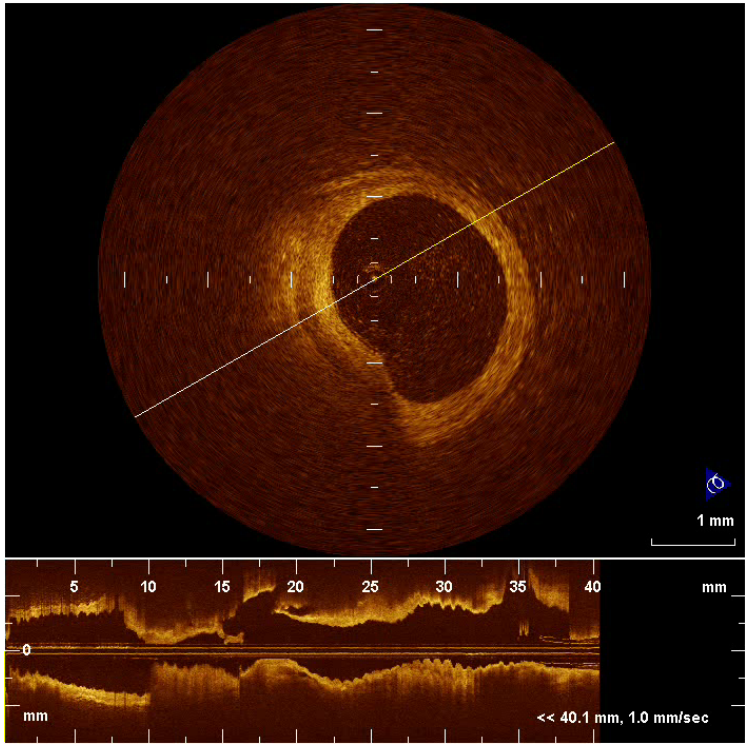
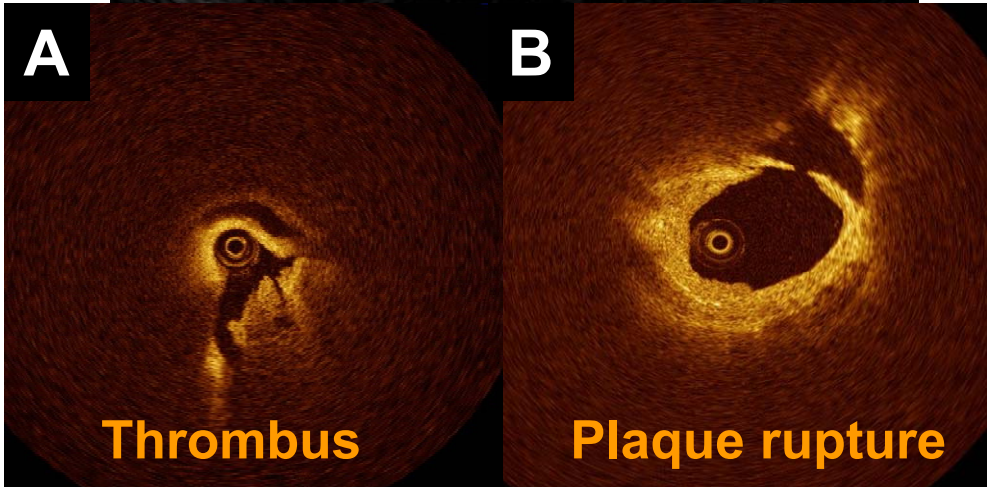
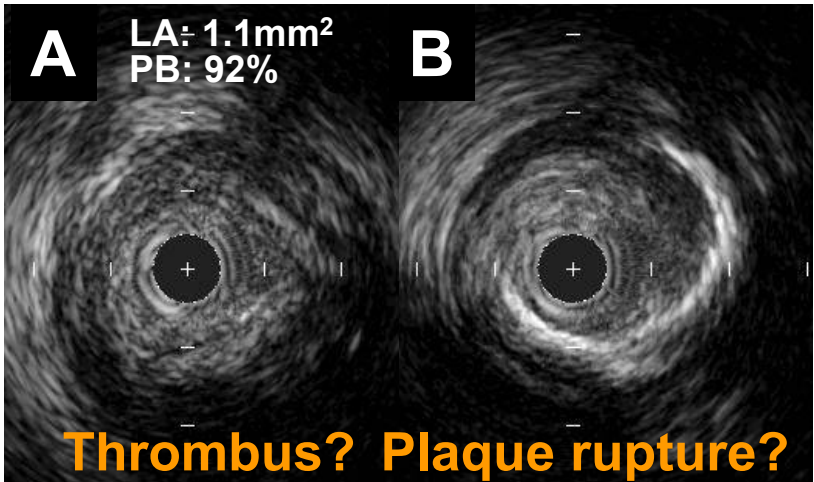
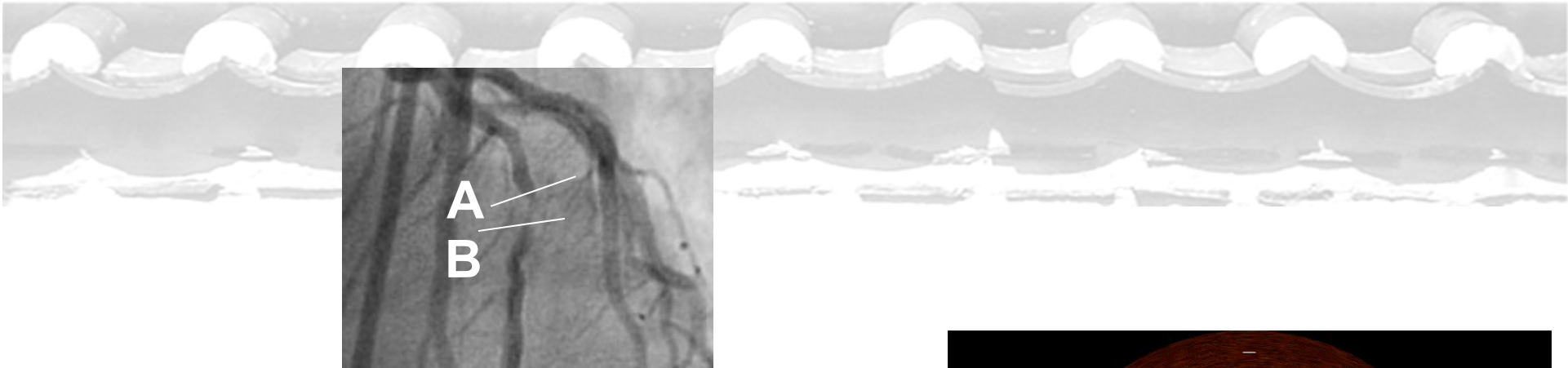


**FI** 65%  
**FF** 6%  
**DC** 3%  
**NC** 27%



**FI** 30%  
**FF** 1%  
**DC** 20%  
**NC** 49%





# Case: OCT is the best tool for evaluation of VP II

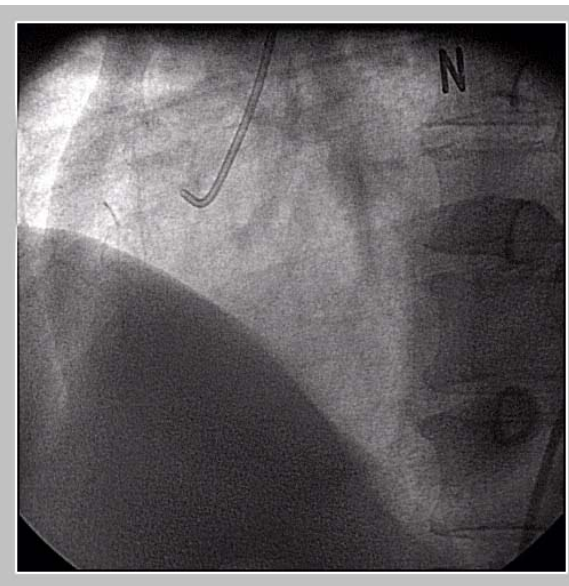
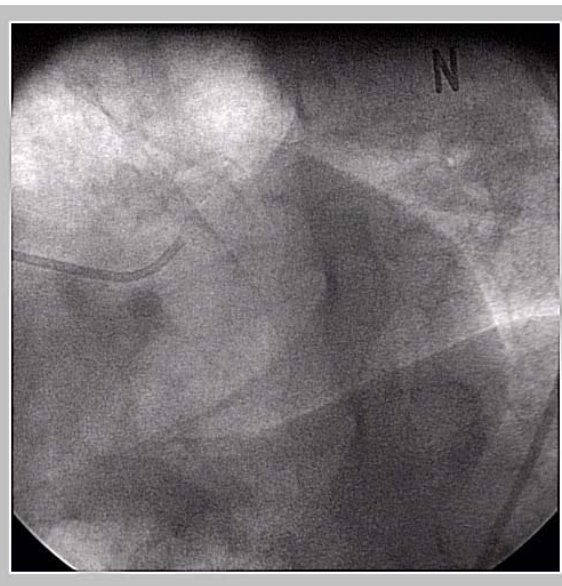
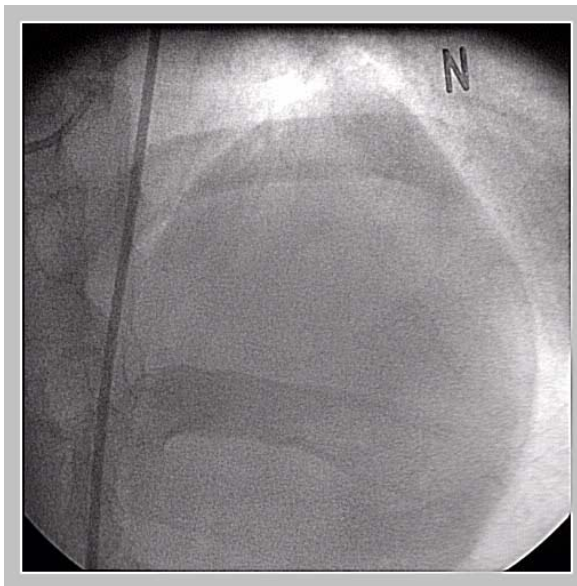
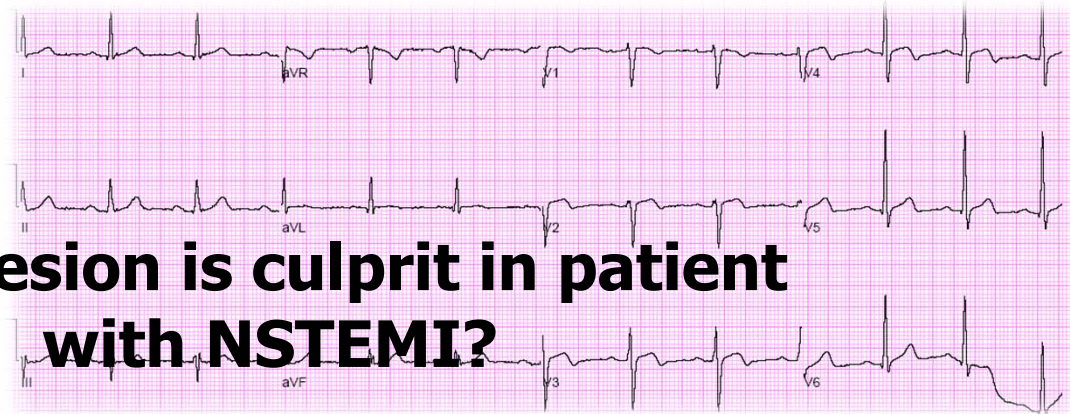
52/M with resting chest pain

Cardiac enzymes:

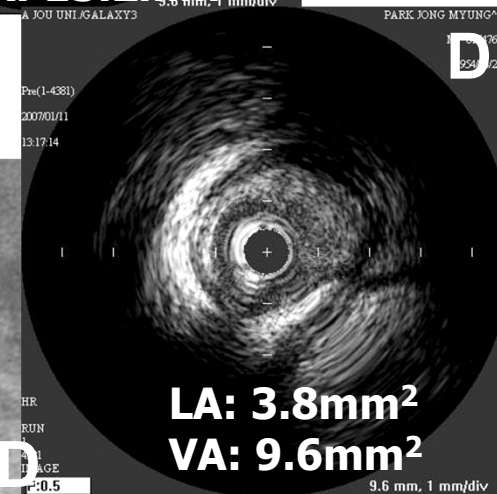
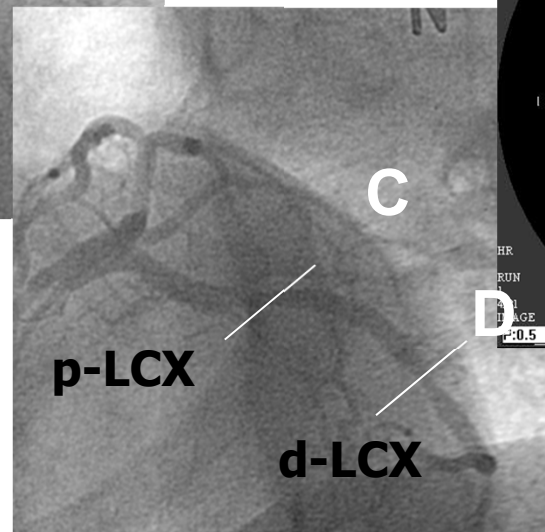
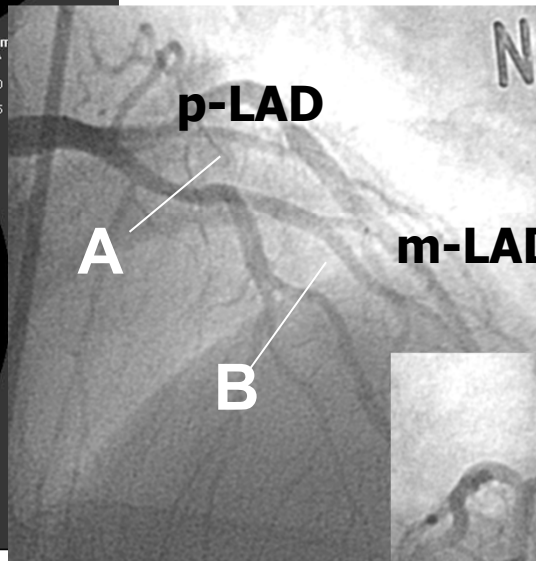
CK 481 U/L, CK-MB 8.49

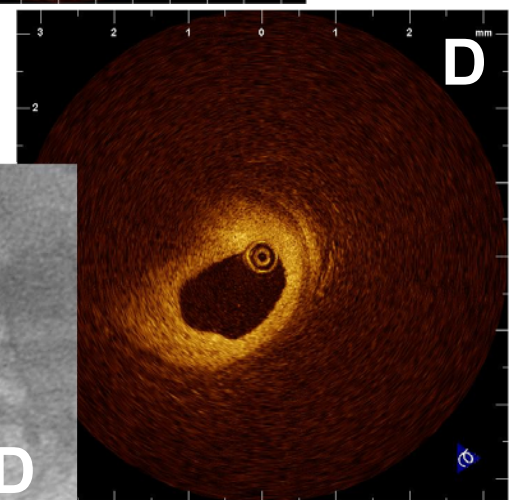
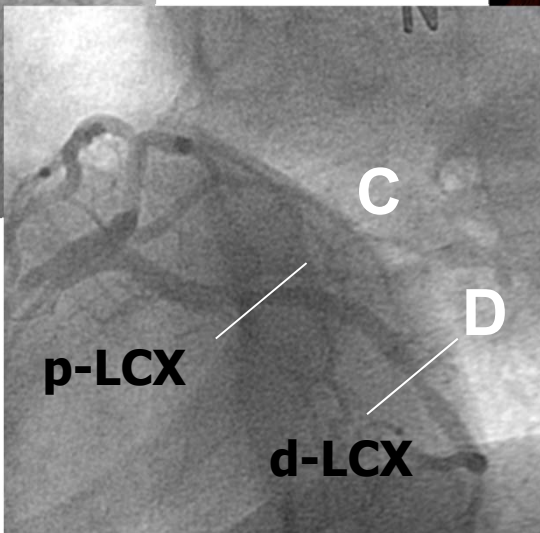
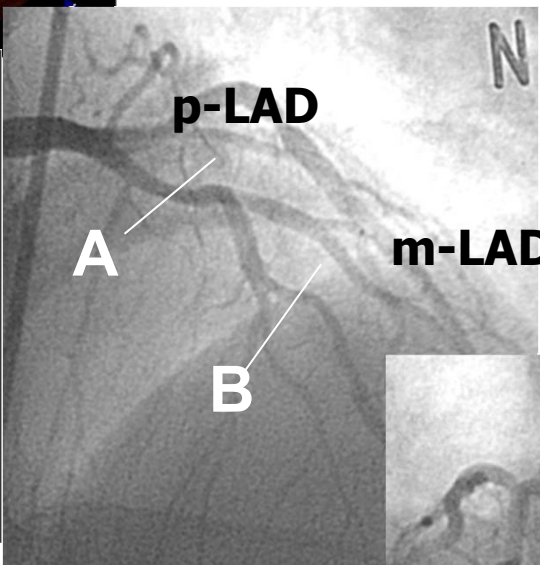
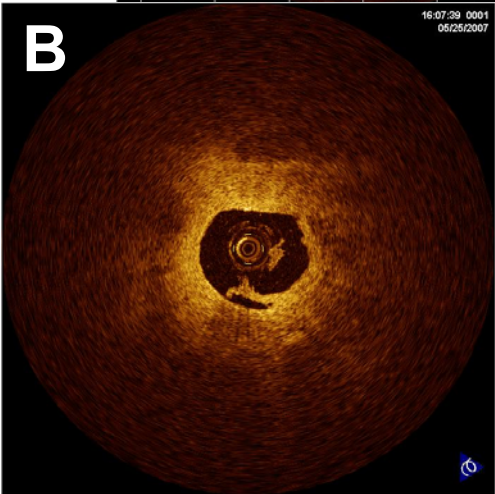
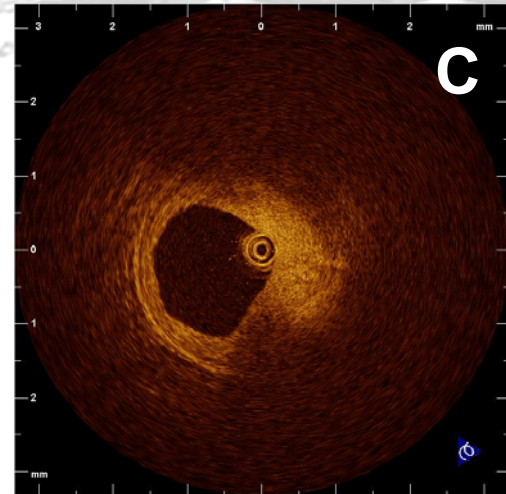
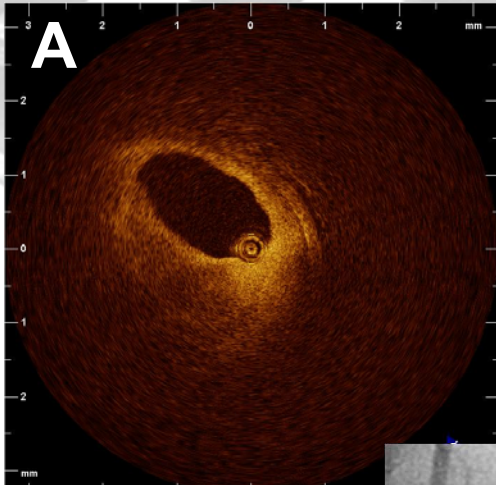
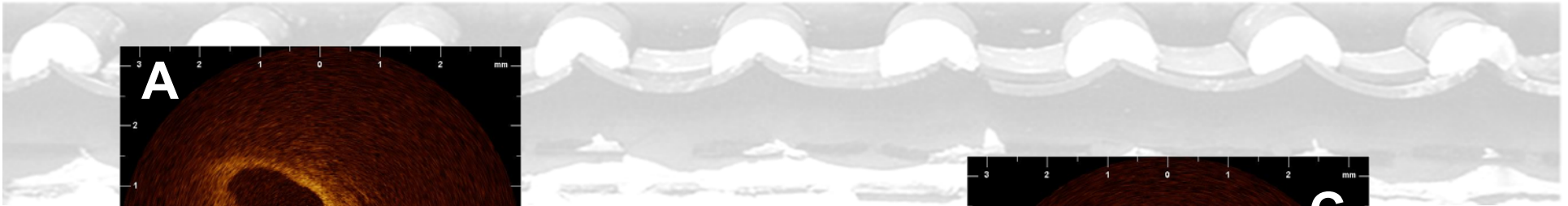
ug/L, TnT 0.74 ng

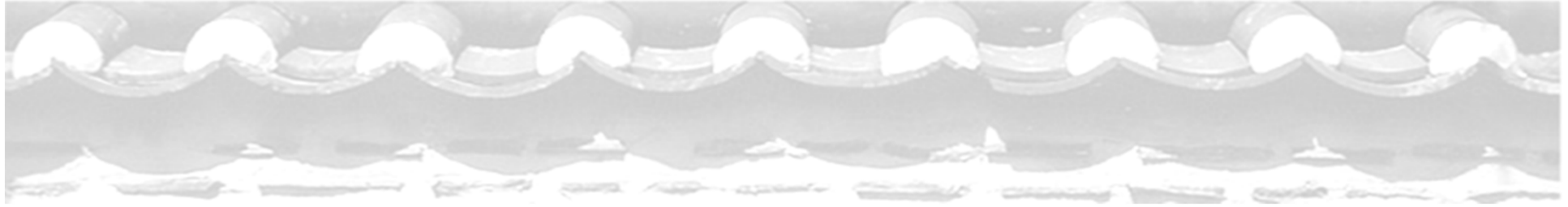
**Which lesion is culprit in patient with NSTEMI?**



Multiple stenoses at p-LAD, m-LAD, p-LCX and d-LCX



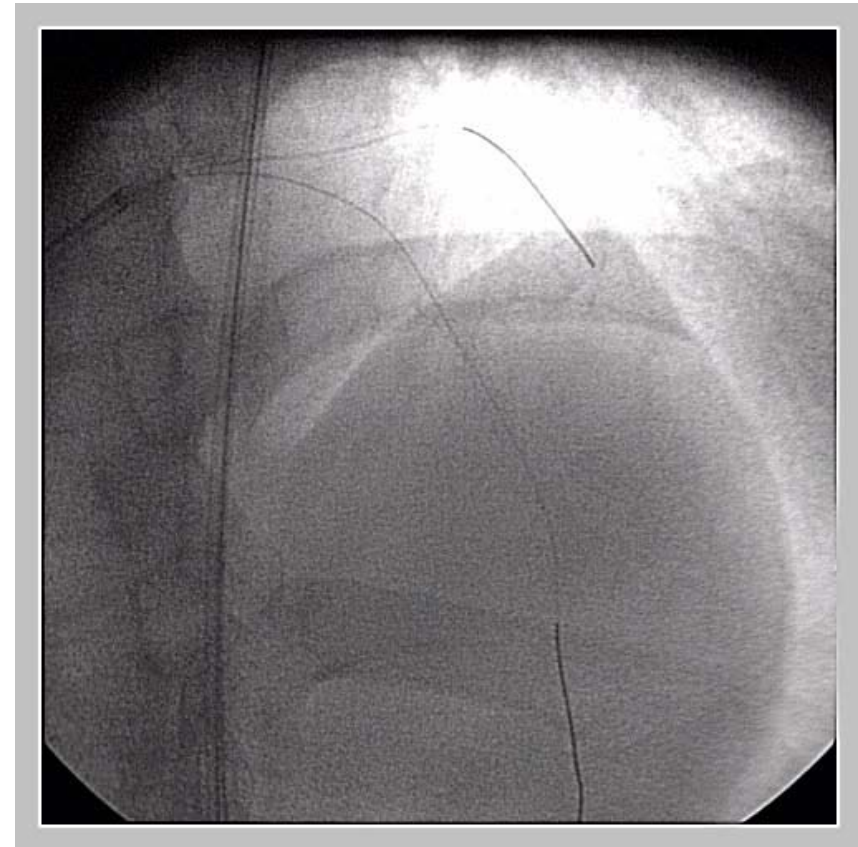




## Procedure

- Maveric2™ 2.5x20 mm
- Taxus™ Liberte™ 2.75x24 mm

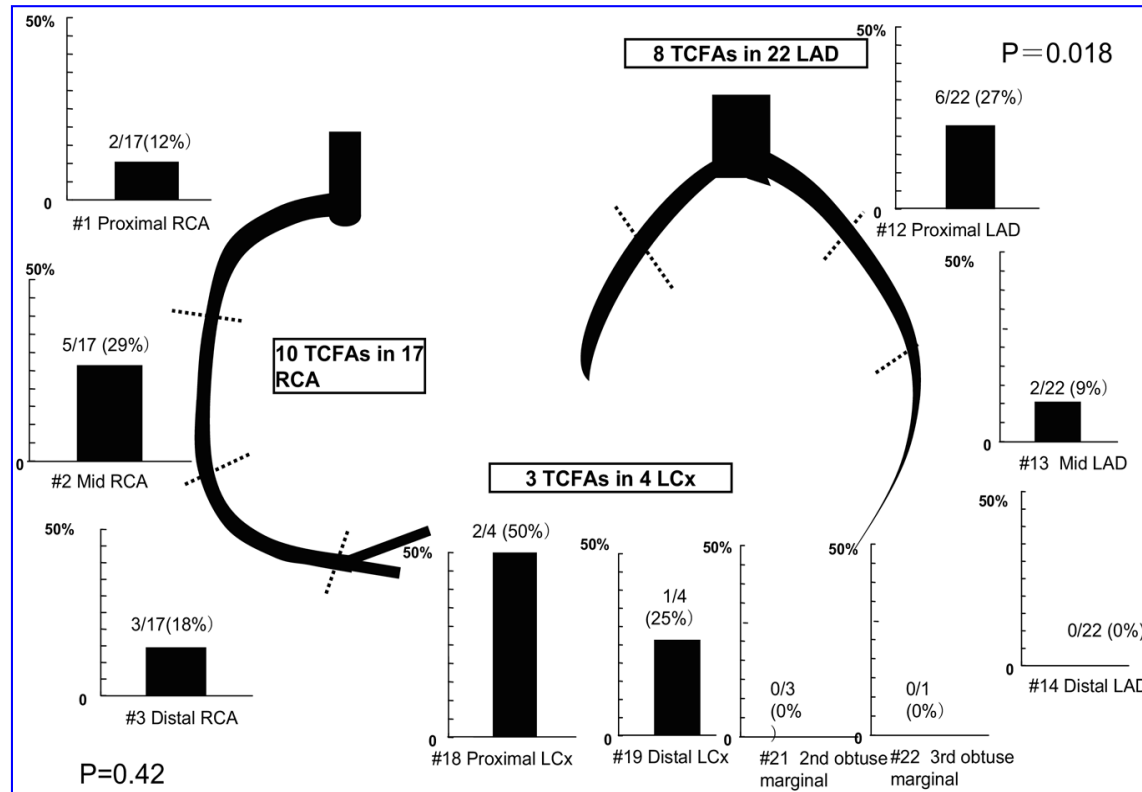
## Final angiogram





# Distribution and Frequency of PR and OCT-TCFA

3V OCT studies in 43 patients with ACS

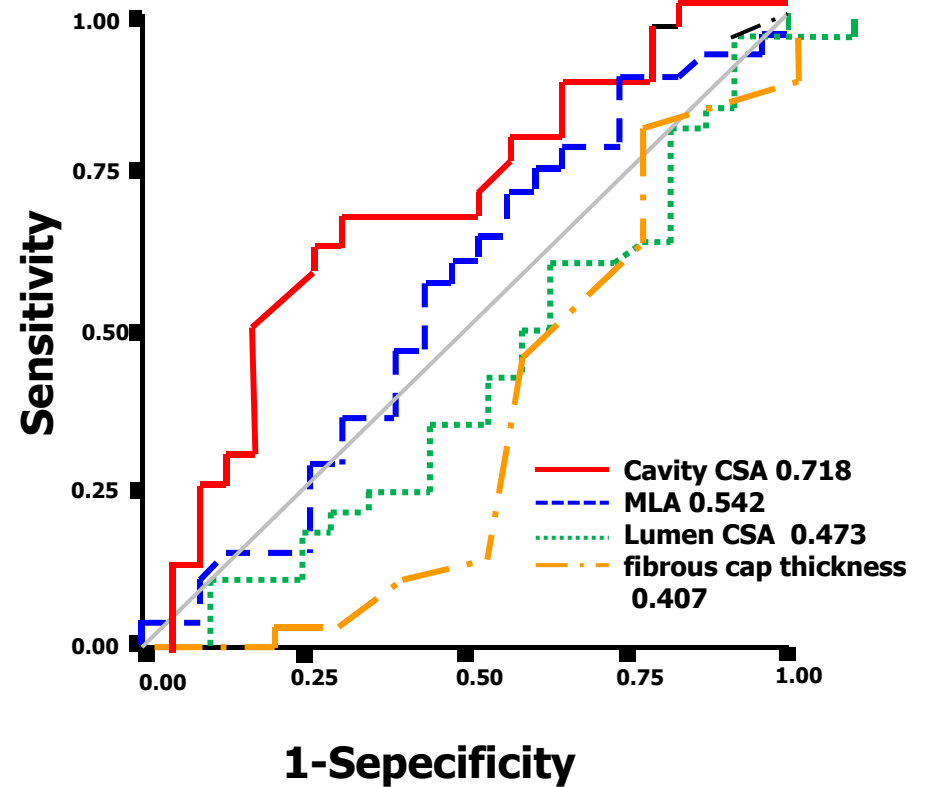
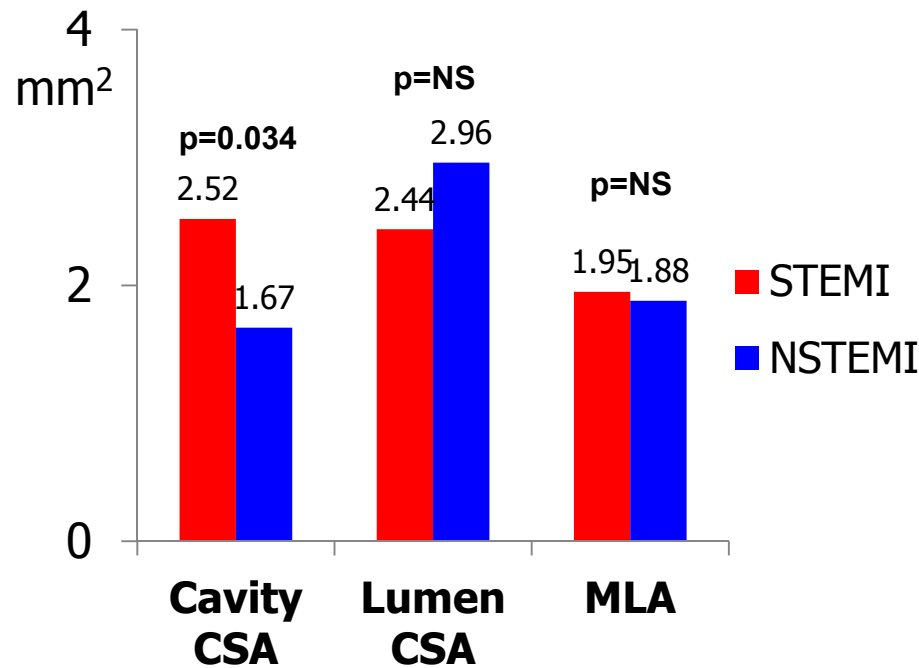


PR was found in 28 patients (65%) and multiple PRs in 5 patients (12%).  
 21 TCFA was found in 18 patients (42%) and multiple TCFA were found in the same vessel in 3 patients (7%).

Tanaka A, Akasaka T et al. Am J Cardiol 2008;102:975–979

# OCT Findings of PR STEMI vs NSTEMI

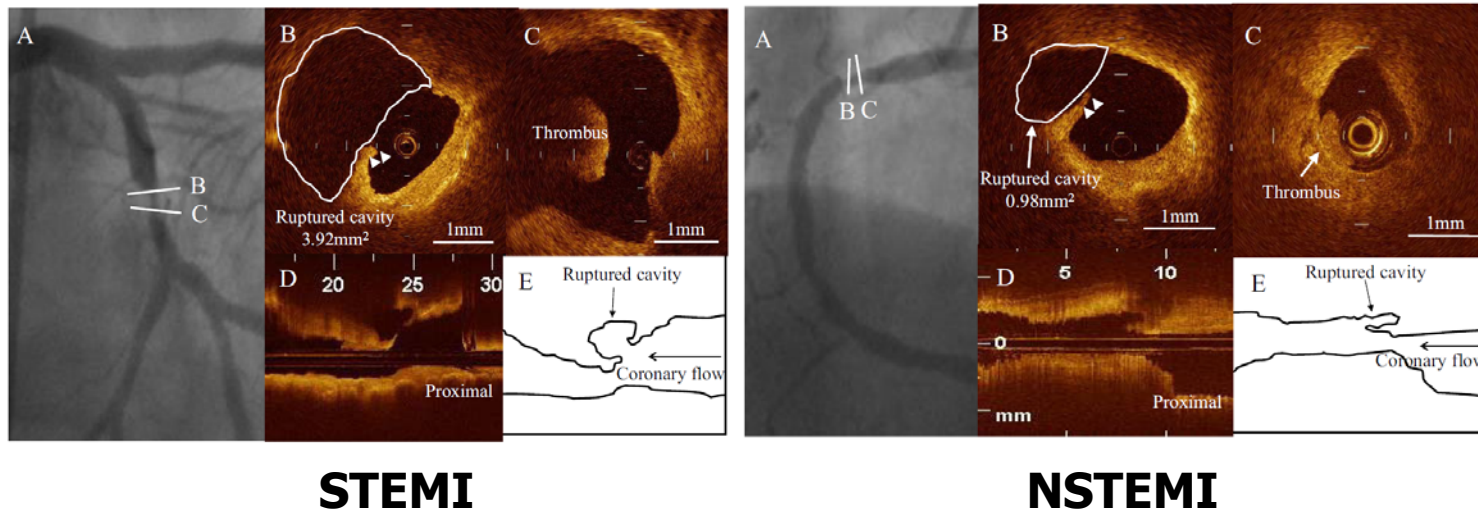
- 89 culprit lesions in 89 patients
- 40 STEMI vs 49 NSTEMI



Ino et al., J Am Coll Cardiol Intv 2011;4:76–82

# OCT Findings of PR STEMI vs NSTEMI

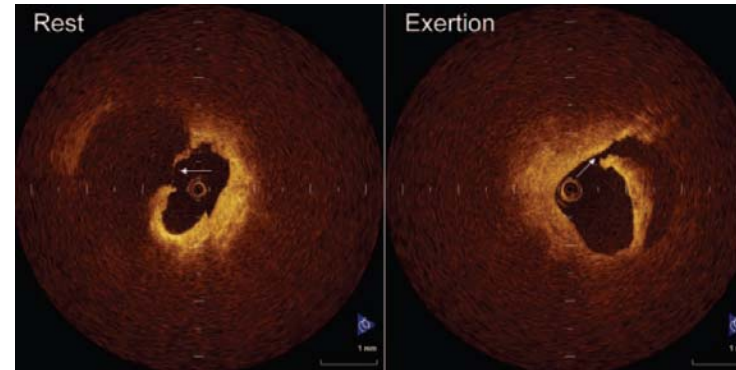
	STEMI	NSTEMI	P Value
Proximal location of ruptured cavity	18 (64)	8 (35)	0.036
Longitudinal morphological features of plaque rupture (proximal type)	13 (46)	4 (17)	0.039



Ino, et al., J Am Coll Cardiol Intv 2011;4:76–82

# Exercise-triggered Plaque Rupture

43 consecutive ACS patients  
Plaque rupture in 43 (60%)  
Onset at Rest vs onset with exertion



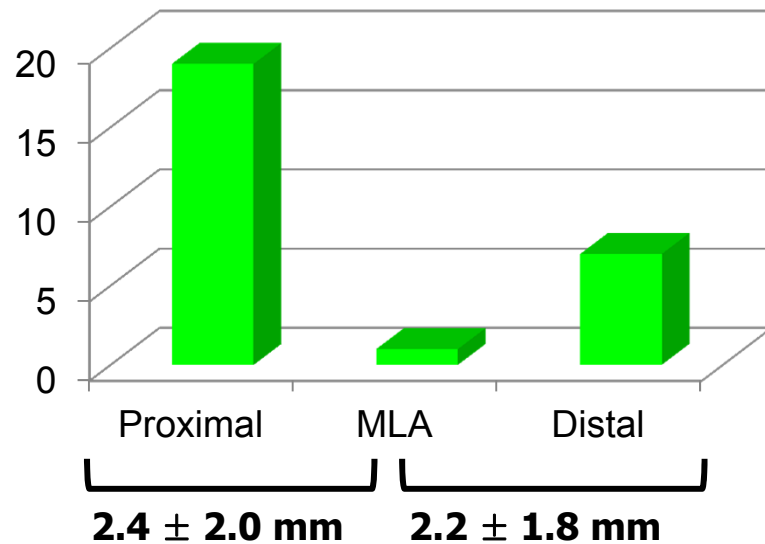
	<b>Rest</b>	<b>Exertion</b>	<b>P</b>
	<b>n=28</b>	<b>n=15</b>	
Thrombus	27 (96)	11(73)	0.04
Thin-cap fibroatheroma at culprit site	16 (57)	6 (40)	0.35
Broken at plaque shoulder	16 (57)	14 (93)	0.017
Thickness of broken fibrous cap, m	50 (915)	90 (65)	0.0017

Conclusion: The morphologies of exertion-triggered and rest-onset ruptured plaques differ in ACS patients. some plaque rupture may occur in thick fibrous caps depending on exertion levels.

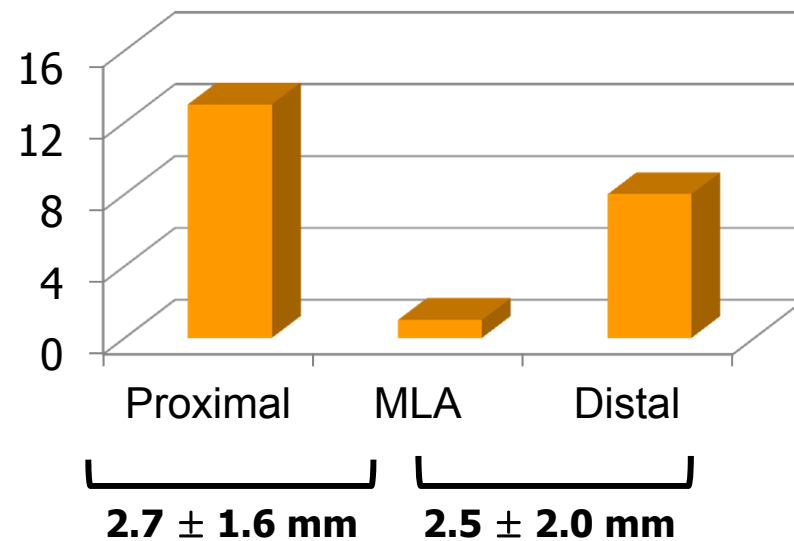
# Axial Distribution of PR and TCFA

48 culprit lesions in 48 patients with ACS

## Plaque Rupture



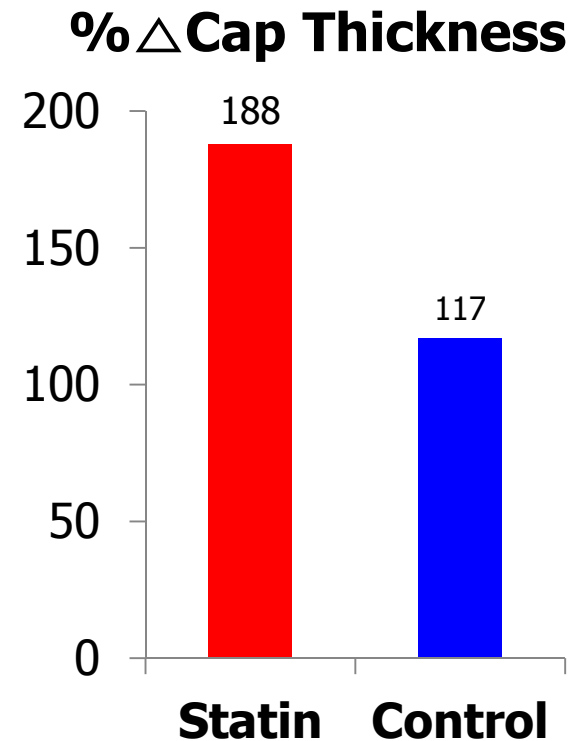
## TCFA



Conclusions: OCT showed that the MLA is rarely at the site of greatest instability (location of rupture and TCFA) and plaque instability sites are more common proximal to MLA site within the lesion in ACS.

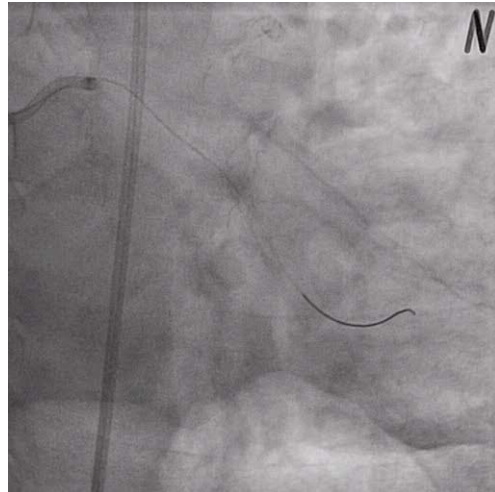
# Effect of statin therapy on coronary fibrous-cap thickness in patients with acute coronary syndrome

Forty AMI patients with hyperlipidemia were divided into statin treatment (n=23) vs control (n=17); serial OCT of a non-treated, lipid-rich lesion was performed at baseline and 9-month follow-up.



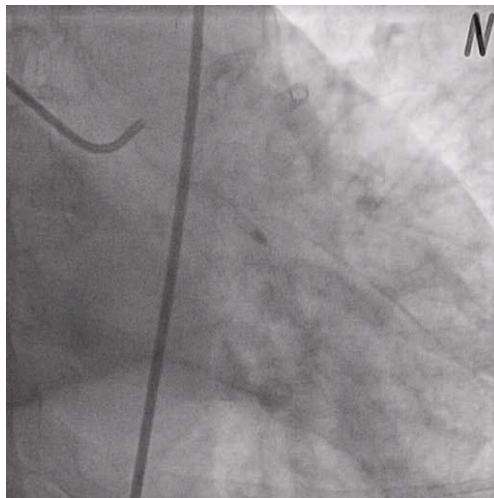
**Conclusion:** Statin therapy for 9 months after the onset of AMI increased fibrous-cap thickness in patients with hyperlipidemia.

# Case: Neointimal hyperplasia related with AMI

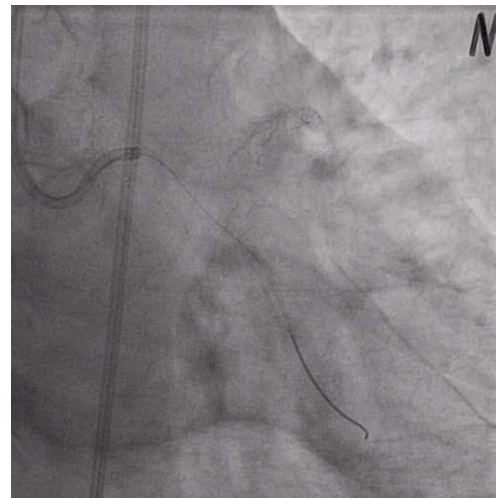


M/56 with AMI (lat)  
PCI with Endeavor stent 2.75 x 12mm at OM 18 months ago

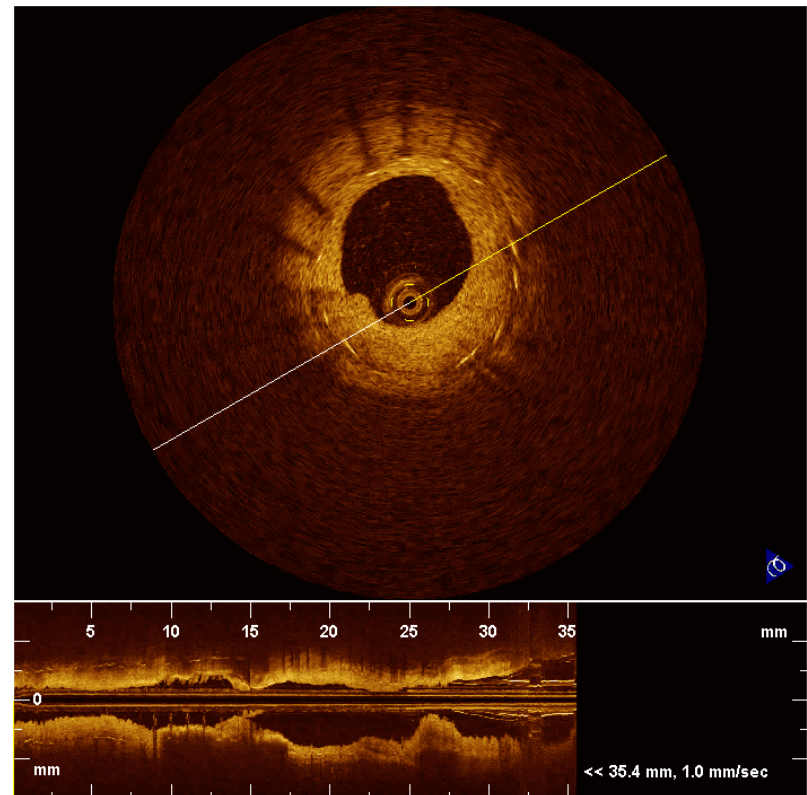
**18mo ago**



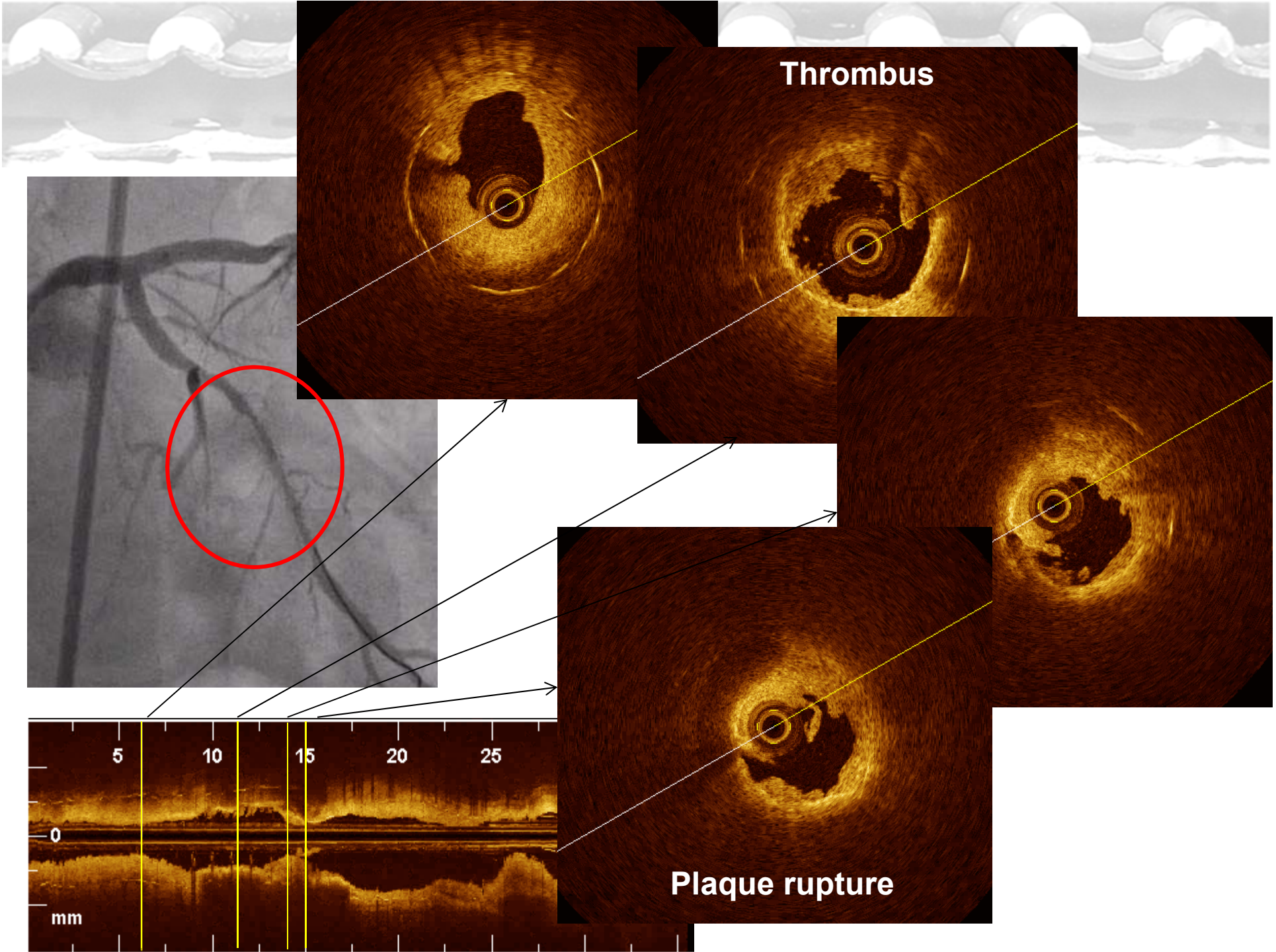
**Baseline**



**After thrombosuction**



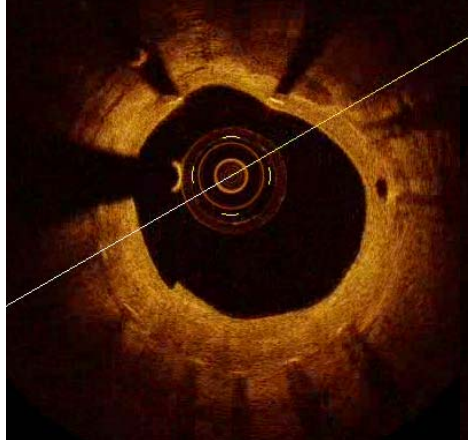
<< 35.4 mm, 1.0 mm/sec





# OCT Findings of Neoatherosclerosis

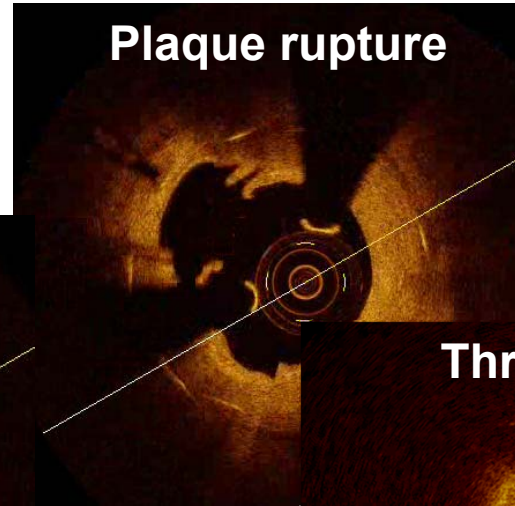
**Neovascularization**



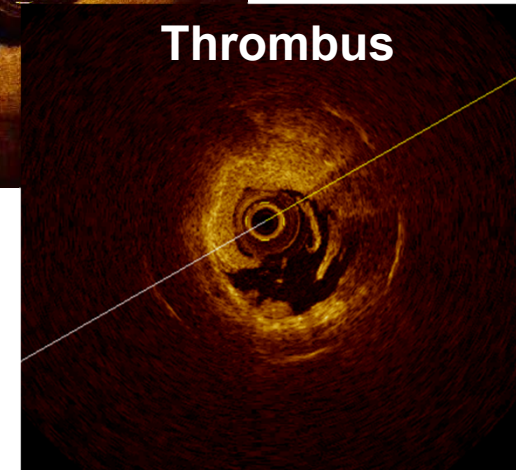
**Lipid-laden intima  
c/s thin-fibrous cap**



**Plaque rupture**



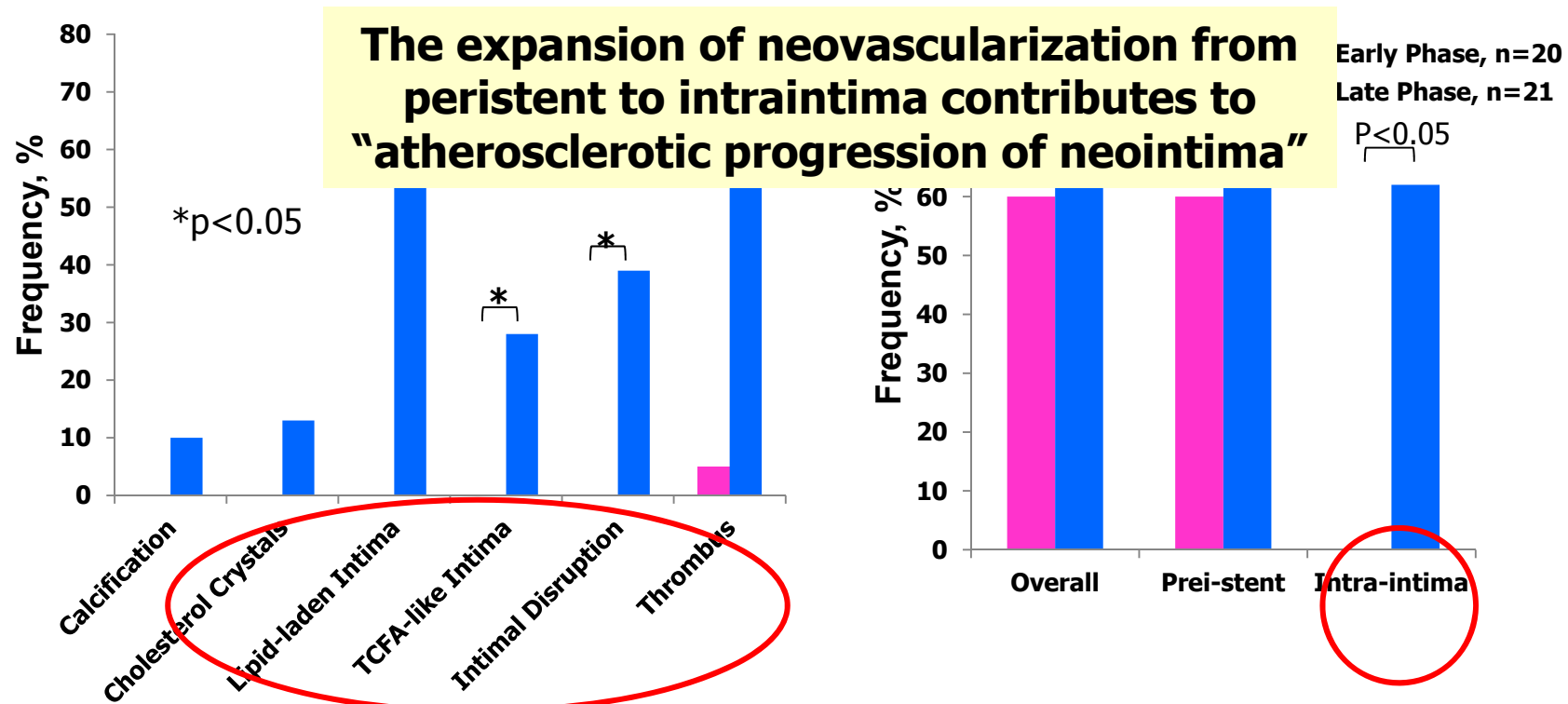
**Thrombus**



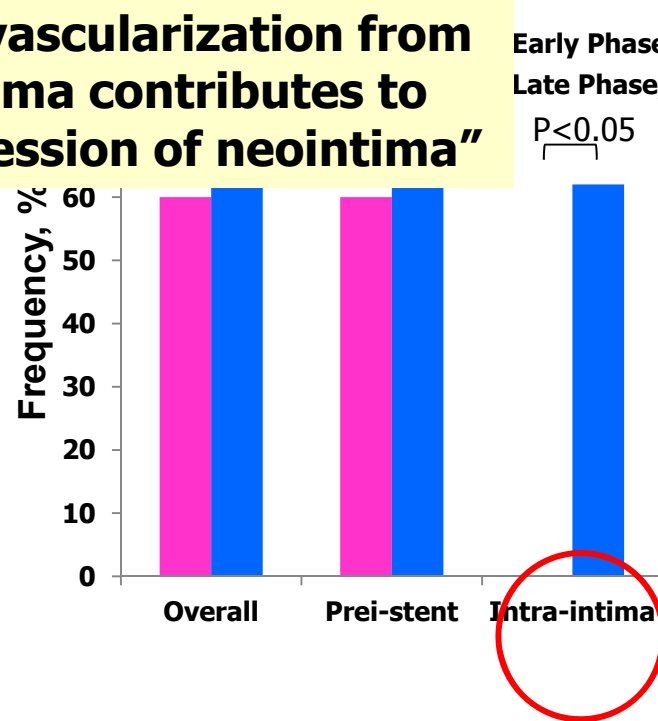
# Lipid-Laden Intima and Neovascularization After BMS

Early phase (<6mos, n=20) vs late phase (≥5 yrs, n=21)  
observation by OCT

## Atherosclerotic Findings



## Neovascularization



Takano M et al., J Am Coll Cardiol 2009;55:26-32

# Neointima in ISR lesion with DES

**50 ISR lesions with DES implantation**  
**Median follow-up time was 32.2 months**

26 lesions (52%) had at least 1 OCT-defined in-stent thin-cap fibroatheroma (TCFA)–containing neointima and 29 (58%) had at least 1 in-stent neointimal rupture.

	<b>Stable</b>	<b>Unstable</b>	<b>p</b>
<b>Fibrous cap thickness, <math>\mu\text{m}</math></b>	100 (60-205)	55 (42-105)	0.008
<b>Intimal rupture</b>	47%	75%	0.044
<b>Thrombi</b>	43%	80%	0.007
<b>Red thrombi</b>	3%	30%	0.012
Lipid neointima	83%	100%	0.067
<b>TCFA</b>	37%	75%	0.008
Neovascularization	50	75%	0.069

Kang SJ, Park SJ et al., Circulation. 2011;123:2954-2963.




# Neoatheroma and VLST after DES or BMS

- 30 VLST patients with AMI (23 DES and 7 BMS)

	<b>DES</b>	<b>BMS</b>	<b>p</b>
Months after index procedure	33.2±12.5	108.4±26.5	<0.001
Stent length, mm	32.9±13.0	18.6±4.2	0.001
Minimum stent CSA, mm <sup>2</sup>	6.2±1.6	7.4±3.8	0.413
Mean EEM CSA, mm <sup>2</sup>	19.6±6.1	18.3±4.2	0.774
Malapposition, %	73.9	0	0.001
<b>Neo-intimal rupture, %</b>	<b>43.5</b>	<b>100</b>	<b>0.010</b>

Lee CW, Park SJ et al., JACC 2010;55:1936–42

# What we learned from OCT studies...

-  OCT has a potential benefit to identify vulnerable plaques-especially plaque rupture, thrombus, fibrous cap thickness, macrophage accumulation-rather than other intracoronary imaging modalities.
-  OCT can provide better information for understanding the mechanism of disease progression in both native lesions and the lesion underwent therapeutic modification.
-  Prospective clinical outcome data undergoing in the field of OCT studies might be helpful to achieve knowledge of vulnerable plaque nature.

# Lessons from Current Experiences

- The current paradigm designation vulnerable plaques as a prelude rupture is primarily supported by autopsy findings, where definitive proof does not exist because of a lack of prospective human data confirming a cause-and effect relationship.
- Potential morphological (and biological) processes that may be helpful for the identification and understanding of vulnerable plaque recognized by today's intracoronary imaging modalities; gray-scale IVUS, RF-IVUS and OCT.
- Advancing the field is required in furthering the development of novel imaging and therapeutic modalities.

