

Is it really better than **systemic-to-pulmonary shunt for right ventricular-to-pulmonary artery conduit** in stage I Norwood procedure of **HLHS ?** *Crossover with 4<sup>th</sup> WCPCCS in Buenos Aires*

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# Prologue

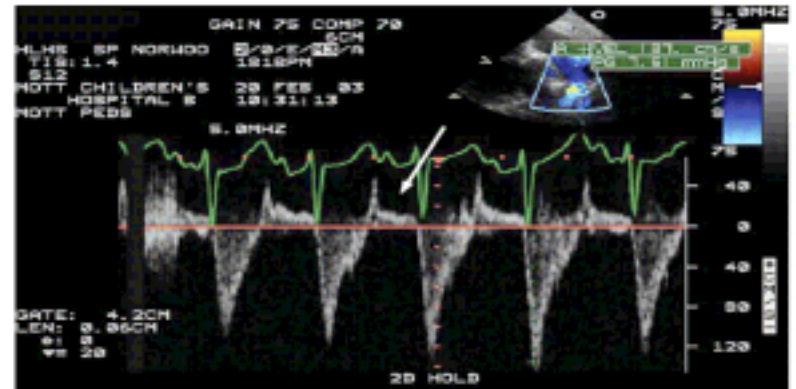
## A case report



- **Reported by dr. Ohye**  
Ann thorac surg 2004;78:1090-3
- **4-day-old, 2.6-kg, full-term, female neonate**
  - ❖ Shock to an outside emergency room
  - ❖ HLHS with mitral & aortic atresia, an aberrant right subclavian artery, mildly depressed RV function, and TR(II~III)
- **Norwood procedure (with 3.5mm MBTS) on day of life 15**
- **Uneventful recovery after surgery**
  - ❖ BP ; 75 to 80/25 to 30 mm hg
  - ❖ Po<sub>2</sub> ; 30 to 35 mm hg
  - ❖ Extubated on postoperative day 5
- **2 weeks after surgery**
  - ❖ Oxygen saturations ; 68% to 72% despite supplemental oxygen

- **Preop. Findings ; prior to 2<sup>nd</sup> OP.**

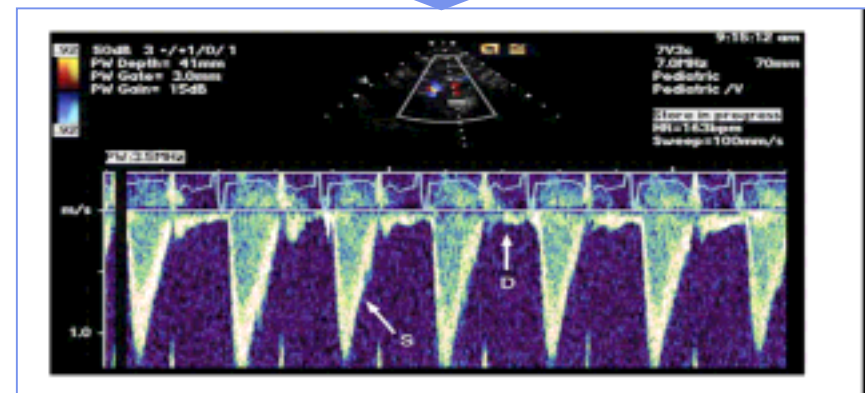
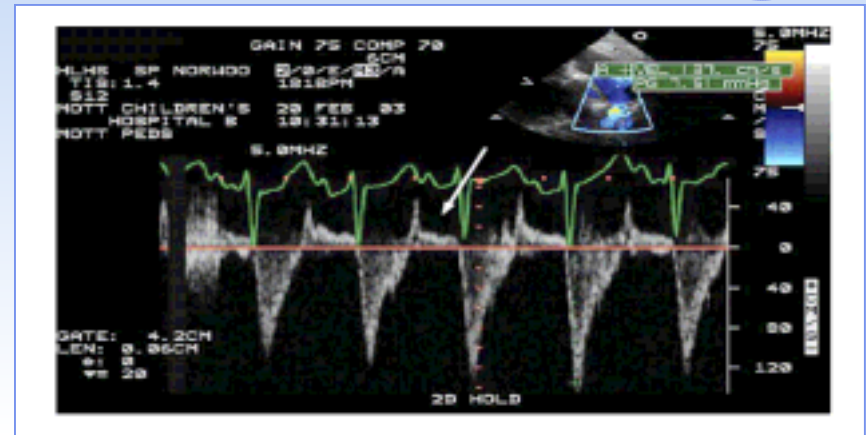
- ❖ Stenosis at the origin of the right carotid from the aorta
- ❖ And a widely patent shunt



# Prologue

## A case report

- **Conversion of MBTS to a 4.0-mm RVPAC at postoperative day 14**
- **Operative findings**
  - ❖ Stenosis at the origin of the right carotid artery, possibly related to a snare injury.
- **Postoperative course**
  - ❖ Weaned from bypass without difficulty
  - ❖ BP ; 75 to 80/40 to 45 mm hg
  - ❖ Po<sub>2</sub> ; 35 to 38 mm hg



# Prologue



## ⊙ **Limitation on this comparison**

- ❖ Not enough data ← relatively small number of patients
- ❖ No prospective randomized design clinical study
- ❖ Historical controls populations ; The errors due to different surgical techniques according to the time of surgery in the patient and control study

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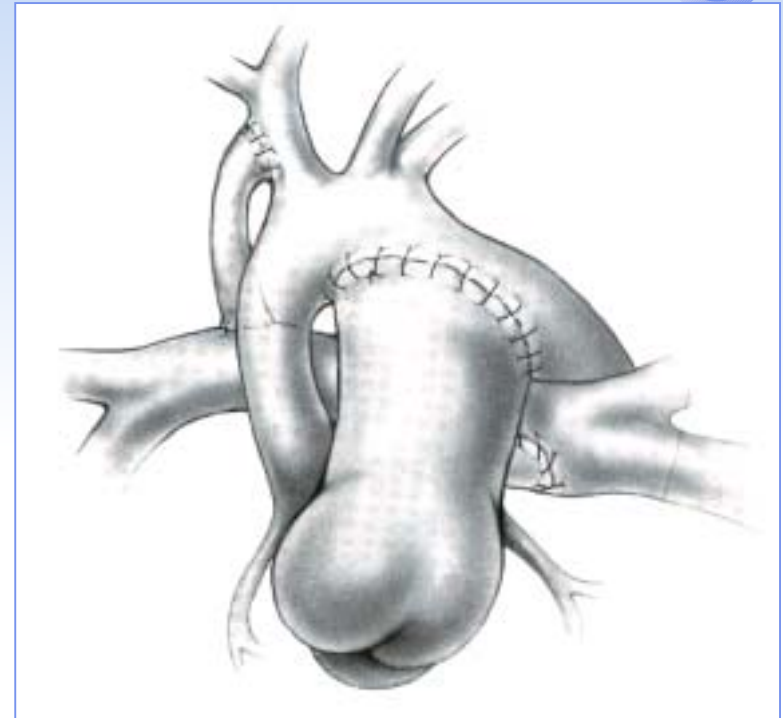
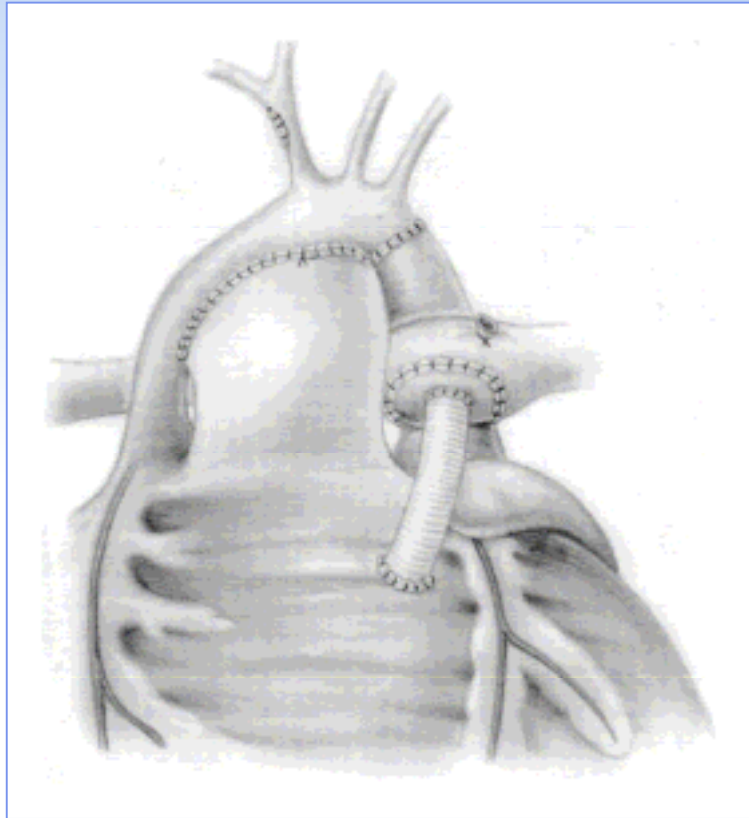


- ◎ **Introduction ; SPA shunt & RV/PA conduit**
  
- ◎ **Comparison**
  - ❖ Echocardiographic evaluation
  
  - ❖ Postoperative hemodynamics & surgical outcomes
  
  - ❖ Mid-term outcomes on subsequent palliation



# SPA shunt & RV/PA conduit

History



In 1981, the 1<sup>st</sup> successful surgical palliation  
by 1999, Revived by *Dr. Kishimoto*  
Since 2001, Popularized by *Dr. Sano*

*Dr. Norwood*

# SPA shunt & RV/PA conduit

## RV/PA conduit



- **Theoretical and observed advantages**
  - ❖ Higher postoperative diastolic blood pressure
  - ❖ Improved coronary perfusion
  - ❖ Balanced pulmonary and systemic circulations
  - ❖ Avoidance of poor systemic perfusion?
- **Potential disadvantages**
  - ❖ Cardiac dysfunction due to ventriculotomy
  - ❖ Ventricular arrhythmias
  - ❖ Early or progressive hypoxemia ← intimal hyperplasia and increased oxygen demand
  - ❖ Volume overload ← reversal flow
  - ❖ Limited PA growth ← low  $Q_p/Q_s$ , decreased in effective pulmonary blood flow
- **Most of the advantages or disadvantages are theoretical**
- **No clear conclusion on the long and short-term clinical outcomes & inter-stage surgical results**



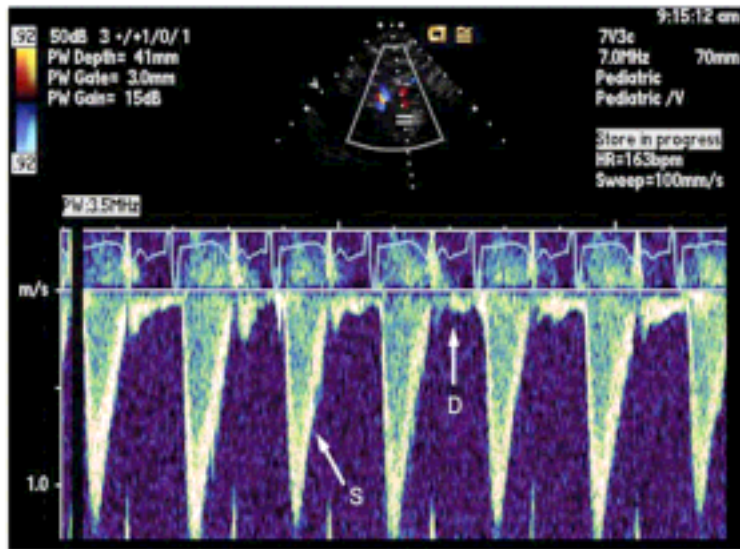
# Contents



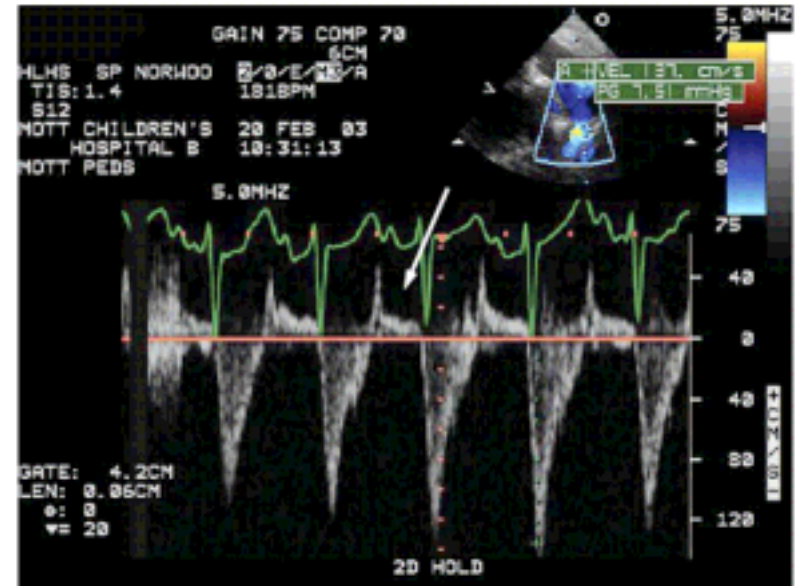
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# Echocardiographic evaluation



RV/PA conduit



SPA  
shunt

# Echocardiographic evaluation

## Ventricular function



### ○ Reduced workload of the right ventricle because of

- ❖ Less qp/Qs
- ❖ Improved coronary perfusion due to higher diastolic pressures.

	Group 1 (BT shunt): Median [quartiles]	Group 2 (RV-PA conduit): Median [quartiles]	P value
Saturation SVC (%)	51 [43;53]	49 [43;53]	.682
Saturation aorta (%)	81 [78;86]	74 [72;79]	.036
PAP (mm Hg)	14 [14;17]	13 [12;17]	.415
Qp/Qs	1.6 [1.2;1.6]	0.9[0.8;1]	.005
EDP	12 [10;16]	11 [8;14]	.381
dp/dt (mm Hg/s <sup>2</sup> )	776 [615;907]	955 [773;1110]	.018

BT, Blalock-Taussig; dp/dt, rate of pressure rise; EDP, end-diastolic pressure; PA, pulmonary artery; PAP, pulmonary artery pressure; Qp/Qs, pulmonary/systemic flow ratio; RV, right ventricular; SVC, superior vena cava.

*J Thorac Cardiovasc Surg* 2003;126:1378-84

# Echocardiographic evaluation

## Ventricular function



**Table 2** Clinical and echocardiographic characteristics of each patient listed in chronological order of surgery

Patient	Echo post-op (days)	Heart rate (beats/min)	SaO <sub>2</sub> (%)	Inotrope (µg/kg/min)	TR	RV %A-Ch (%)	Peak systolic SR (1/s)	Peak systolic ε (%)	Outcome
S-PA shunt									
1	50	149	80	None	Mod	29	-1.11	-16.2	A
2	47	167	79	None	Mod	25	-0.69	-12.2	A
3	41	136	80	None	Mild	29	-0.77	-11.9	D (60 days)
4	28	177	82	Dobutamine 5	Mild	17	-1.08	-13.4	D (29 days)
Mean (SD)	41.5 (3.4)	157 (18)	80 (1)			25 (6)	-0.91 (0.21)	-13.4 (2.0)	
RV-PA conduit									
5	34	147	85	None	Mild	49	-1.54	-20.4	A
6	27	138	88	None	Mod	62	-1.06	-16.5	A
7	36	154	86	None	Mild	55	-1.18	-16.7	A
8	31	170	85	Dobutamine 2.5	Mild	53	-1.29	-16.5	A
9	32	133	82	None	Mild	62	-1.11	-19.0	A
Mean (SD)	32 (9.7)	148 (15)	85 (2)			56 (6)	-1.24 (0.19)	-17.8 (1.8)	
p Value	0.07	0.4	<0.01			<0.01	0.048	0.01	

ε, systolic strain; A, alive; D, died; mod, moderate; RV % A-Ch, right ventricle percentage area change; SaO<sub>2</sub>, percutaneous oxygen saturation; SR, strain rate; TR, tricuspid valve regurgitation.

*Heart 2004;90;191-194*

- **First to compare two surgical strategies during the convalescent phase after S1P for HLHS.**
- **To quantify RV performance noninvasively with strain doppler echocardiography**

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  - ❖ Postoperative hemodynamics & surgical outcomes
  
  - ❖ Mid-term outcomes on subsequent palliation



# Postoperative hemodynamics & surgical outcomes



- **Studies directly compared the two procedures in a patient group and control groups**
- **Common denominator among these studies**
  - ❖ Higher mean diastolic pressure, narrower pulse pressure in RV/PA conduit group
  - ❖ Less mean pao<sub>2</sub> (< 35 mmhg) and less saO<sub>2</sub> (< 60-65%) in RV/PA conduit group → however, peripheral perfusion was good and metabolic requirements were satisfactory



# Postoperative hemodynamics & surgical outcomes

*RV/PA conduit > SPA shunt*



- ◎ **Christian Pizarro, Circulation. 2003;108:ii-155.**
  - ❖ Recent results of two procedures (2000-2003).
  - ❖ Eccs usage, delayed sternum closure, and postoperative ventilatory manipulation are seldom in rv/pa conduit group ( $p < 0.01$ )
  - ❖ Postoperative mortality; 9.3% in RV/PA conduit .Vs. 30% in SPA shunt ( $p = 0.03$ )
  
- ◎ **Rudolf Mair, Jthorac cardiovasc surg 2003;126:1378-84**
  - ❖ More excellent postoperative outcomes in rv/pa conduit ( $n = 14$ ) than spa shunt ( $n = 18$ )
  - ❖ Postoperative mortality; 7% in rv/pa conduit .Vs. 38% in SPA shunt ( $p < 0.05$ )
  - ❖ ? ; 3.5 mm shunt in all SPA shunt pts ( $< 3.0$  kg ,  $n = 7/18$  pts)



# Postoperative hemodynamics & surgical outcomes

*Improvement of postop. care*



## ○ Routine use of mechanical ventricular assist

- ❖ **Ross ungerleider**, *pediatric cardiac surgery annual of the seminars in thoracic and cardiovascular surgery, vol 7, 2004: pp 16-21.*
- ❖ Since January of 2001, 23 patients have been managed using this strategy
- ❖ Increasing cardiac output during assist → increase cerebral oxygen delivery and metabolism → translate into improved neurologic outcome
- ❖ Overall hospital survival – 87%

## ○ Practical use of alpha blockade strategy

- ❖ **Glen S. Van arsdell**, *pediatric cardiac surgery annual of the seminars in thoracic and cardiovascular surgery, vol 7, 2004: pp 11-15.*
- ❖ Irreversible  $\alpha_1$  and  $\alpha_2$  adrenergic receptor blocker
- ❖ Optimization of systemic cardiac output by maximal dilation of systemic circulation
- ❖ Diminish myocardial oxygen consumption and manipulate the  $Q_p:Q_s$  balance



# Postoperative hemodynamics & surgical outcomes

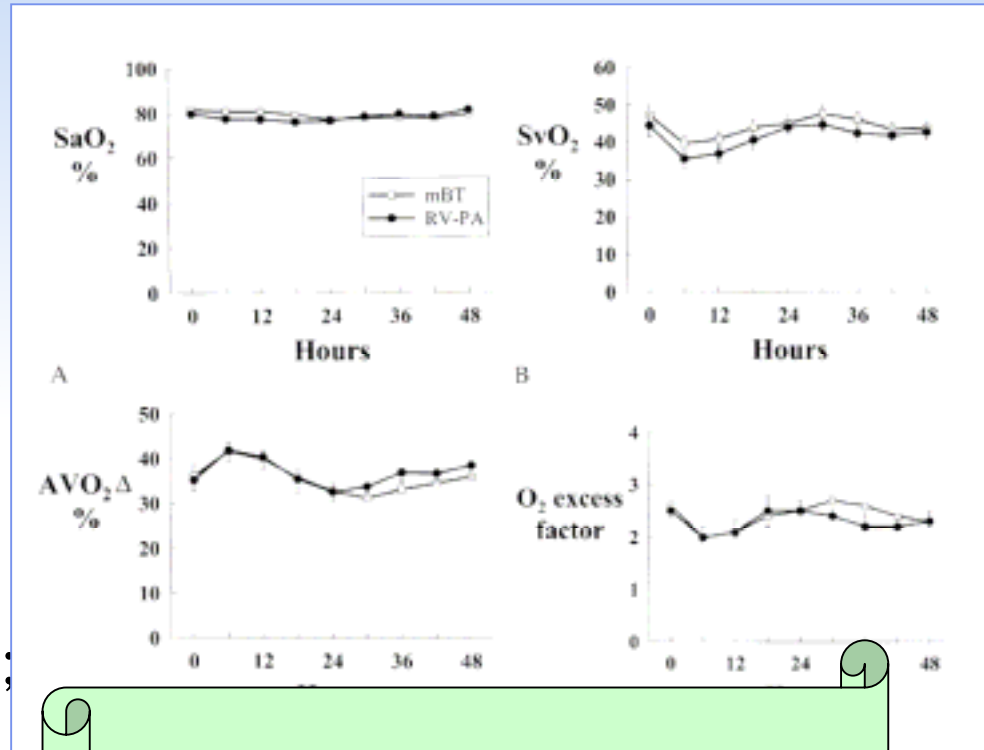
RV/PA conduit SPA shunt



## Scott Bradley,

*Ann thorac surg 2004;78:933-41.*

- ❖ Relatively large study population (19 Vs. 25) with homogeneity
- ❖ Postoperative hemodynamic and pathophysiological conditions were not better in RV/PA conduit group
- ❖ The postoperative mortality: 11% (2/19) in the RV/PA conduit group 20% (5/20) in the SPA shunt group



Updated CHSS data ;  
 Postoperative mortality ; 6.1%  
 with phenoxybenzamin and home monitoring program

4<sup>th</sup> WCPCCS , Argentina

# Postoperative hemodynamics & surgical outcomes

## Summary of other studies



Studies (SPA / RVPA)	Malec et al. (2003) (31/37)	Cua et al. (2005) -meta analysis ( x 5 ) (72/84)	Azokie et al. (2004) (8/13)	Januszewska et al. (2005) (27/51)
<b>Operation mortality (%)</b>	35.5 .vs. 11 p =0.032	22.6 .vs. 9.7 p=0.04	12.5 .vs. 7.7 Not difference	11 .vs. 1.9 Not difference
<b>Qp/Qs</b>	1.41 .vs. 0.68 p=0.02	---	1.8.v.s. 0.9 p=0.001	1.24 .vs. 0.80 p=0.011
<b>Oxygen saturation (%)</b>	75.1 .vs. 67.38 p =0.003	---	76 .vs. 77 Not difference	75.3 .vs. 67.4 p < 0.001
<b>Mixed venous oxygen Saturation (%)</b>	49.34 .vs. 47.2 Not difference	---	46 .vs. 47 Not difference	49.7 .vs. 43.5 p=0.024
<b>Length of ICU stay Duration of ventilatory support</b>	---	---	Not difference	Shorter in RV/PA conduit



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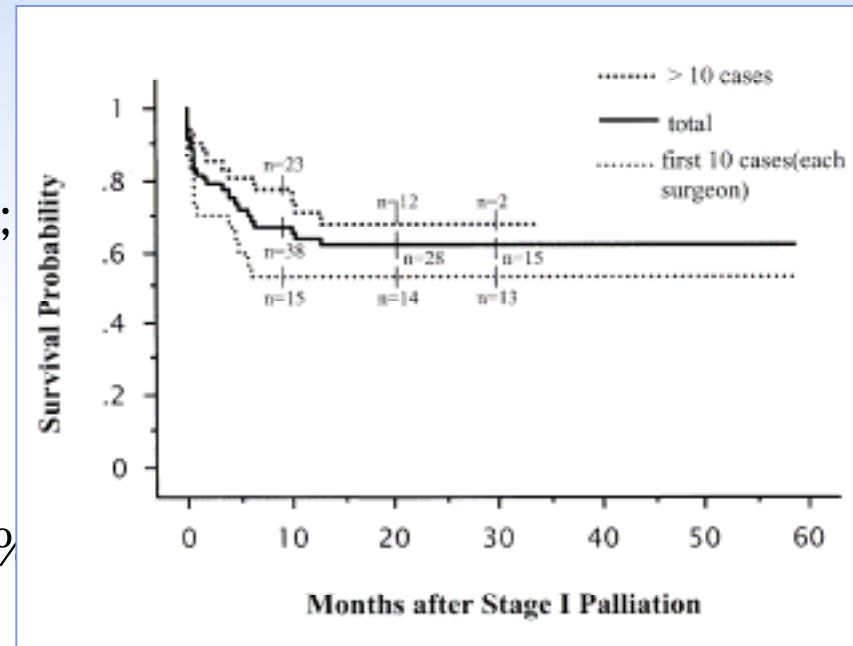


# Mid-term outcomes on subsequent palliation

## Overall survival on RV/PA conduit



- **Shunji Sano**, *Ann thorac surg* 2004;78:1951– 8.
  - ❖ Multi-institutional study (n=73, RV/PA conduit only)
  - ❖ Actuarial survival of the total patients ; 65% , 63% at 1 & 2 year
  - ❖ Each surgeon's first 10 cases ; 53% at 1 & 2 year
  - ❖ Each surgeon's recent cases ; 71% , 68% at 1 & 2 year



# Mid-term outcomes on subsequent palliation

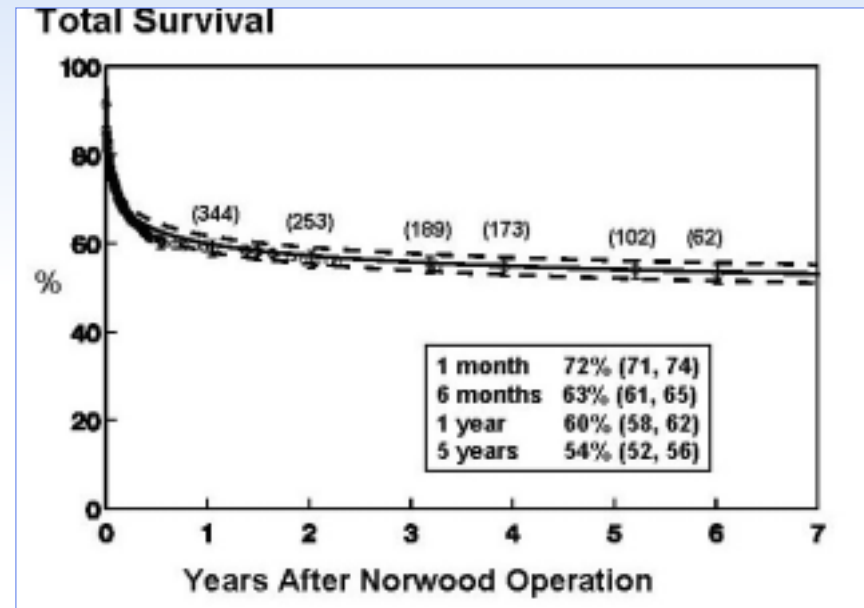
Overall survival on SPA  
shunt



## David A. Ashburn, CHSS

*J thorac cardiovasc surg* 2003;125:1070-82.

- ❖ Between january 1994 and december 2000
- ❖ 710 neonates (total 985 enrolled pts.) Underwent the SPA shunt
- ❖ Multi-institutional (29), longitudinal study
- ❖ Statistically “boot strap bagging technique”
- ❖ **Overall survival ; 72%, 63%, 60% , 54% at 1 month, 6 months, 1 year, and 5 years.**



# Mid-term outcomes on subsequent palliation

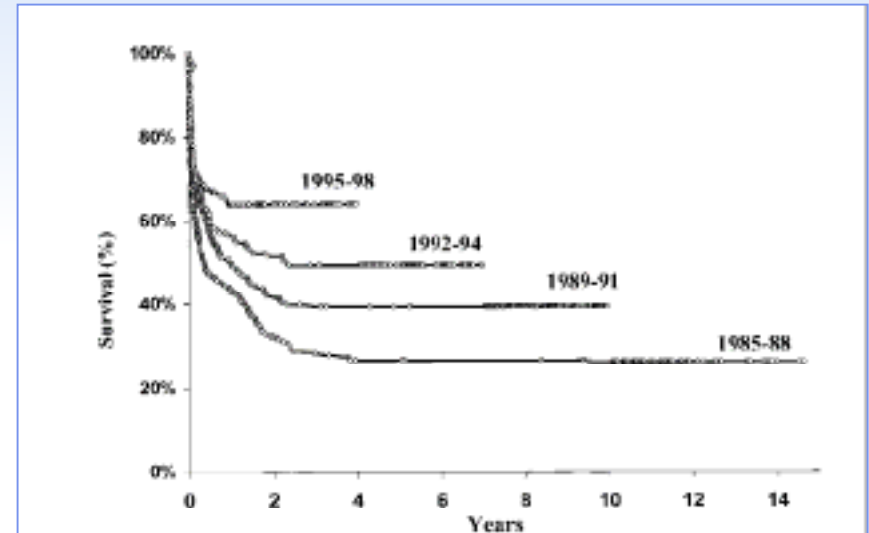
Overall survival on SPA  
shunt



## William T. Mahle,

*Circulation.* 2000;102[suppl III]:III-136-III-141.

- ❖ The largest cohort of chop ; 840 patients (1984 ~ 1999)
- ❖ The overall hospital mortality for stage I surgery - 36.3%.
- ❖ 3 year survival 66% (1995~1998) .vs. 28% (1984~1988)
- ❖ Risk factor ;
  - Earlier era
  - Age > 14 days at stage I
  - Weight < 2.5



# Mid-term outcomes on subsequent palliation

**Shunji Sano**, Pediatric Cardiac Surgery  
 Annual of the Seminars in Thoracic and Cardiovascular Surgery, Vol 7, 2004: pp 22-31.

Benefits for preparing the stage



Table 1. Comparison of Hemodynamics Before Stage II and Stage III

Variable	Before Stage II			Before Stage III		
	BT (N = 5) (mean value ± SD)	RV-PA (N = 25*) (mean value ± SD)	P value (Mann-Whitney's Test)	BT (N = 5) (mean value ± SD)	RV-PA (N = 9*) (mean value ± SD)	P value (Mann-Whitney's Test)
RVEDP (mm Hg)	10 ± 5	6 ± 3	.12	7 ± 2	8 ± 3	.610
Ao-Sat (%)	77 ± 4	72 ± 5	.126	82 ± 5	82 ± 3	.806
Qp:Qs	0.88 ± 0.1	0.66 ± 0.2	.008*	0.56 ± 0.2	0.76 ± 0.2	.086
RPA/m <sup>2</sup>	27 ± 7	21 ± 4	.196	22 ± 1	18 ± 3	.011*
LPA/m <sup>2</sup>	21 ± 8	20 ± 6	.785	14 ± 3	15 ± 4	.954
Rv/Lt ratio	1.3 ± 0.2	1.1 ± 0.3	.083	1.7 ± 0.4	1.3 ± 0.4	.395
Nakata index	335 ± 200	201 ± 86	.103	232 ± 28	209 ± 44	.395
RVFS (%)	28 ± 2	35 ± 7	.018*	32.9 ± 2.7	32.5 ± 5.1	.806
RVEDd (mm)	32 ± 5	26 ± 5	0.063	34 ± 7	34 ± 3.3	.713

Abbreviations: RVEDP, right ventricular end-diastolic pressure; Ao-Sat, aorta oxygen saturation; Qp:Qs, pulmonary-to-systemic blood flow ratio; Rv/Lt ratio, indexed right-to-left pulmonary artery diameter ratio; RPA/m<sup>2</sup>, indexed right pulmonary artery diameter; LPA/m<sup>2</sup>, indexed left pulmonary artery diameter; RVFS, right ventricular fractional shortening; RVEDd, right ventricular end-diastolic diameter.

\*One

**Dr. Sano ;** 4<sup>th</sup> WCPCCS , Argentina

\*mPAP (mmHg) 15.2 .vs. 12.1 (p=0.008)

\*In angiogram, depressed wall motion was Limited in the vicinity of ventriculotomy

ka,

Variable	SPA shunt (n=27)	RV/PA conduit (n=51)	P value
<b>LPAI</b> m <sup>2</sup> /m <sup>2</sup> )	49.63 ± 20.9	<b>134.91 ± 48.1</b>	<b>0.006</b>
<b>RPAI</b> m <sup>2</sup> /m <sup>2</sup> )	61.24 ± 5.6	<b>182.29 ± 73.3</b>	<b>0.001</b>
<b>VC-O<sub>2</sub></b> (%)	49.7 ± 7.3	43.5 ± 6.6	<b>0.024</b>
<b>VO<sub>2</sub></b> (%)	75.3 ± 4.4	67.4 ± 6.6	<b>&lt;0.001</b>
<b>Qp:Qs</b>	1.24 ± 0.43	0.80 ± 0.47	<b>0.011</b>
<b>VH (n)</b>	7/27	2/51	<b>0.012</b>
<b>ICU stay</b> (days)	15.0 ± 18.9	6.8 ± 10.0	<b>0.004</b>

# Mid-term outcomes on subsequent palliation

*Inter-stage mortality*



## ○ **Theological etiologies of inter-stage mortality**

- ❖ Coronary perfusion
- ❖ Shunt obstruction
- ❖ Arrhythmias
- ❖ Right ventricular failure
- ❖ Residual arch lesions
- ❖ Acute inter-current illness
- ❖ Atrioventricular valve regurgitation.



# Mid-term outcomes on subsequent palliation

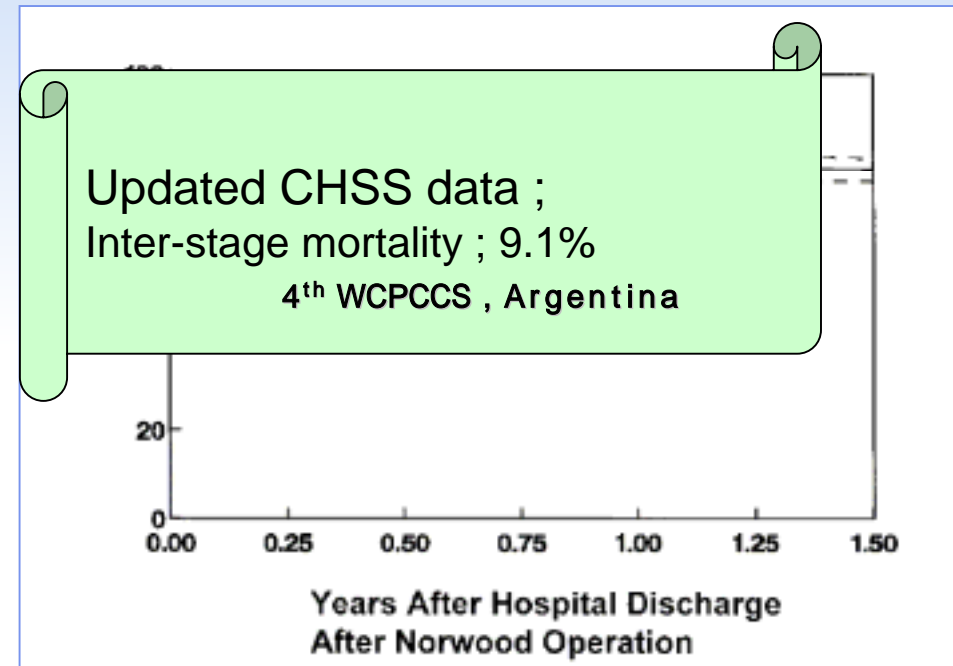
Inter-stage mortality



## David A. Ashburn, CHSS

*J Thorac Cardiovasc Surg* 2003;125:1070-82.

- ❖ Only SPA shunt patients
- ❖ Inter-stage mortality ; 12% (63/512 discharged pts.)
- ❖ Risk factor ;
  - Lower birth weight
  - Older age at operation
  - Total circulatory arrest
  - Ascending aorta dimension



# Mid-term outcomes on subsequent palliation

*Inter-stage mortality*



○ **Clifford L. Cua**, *Ann Thorac Surg* 2005;80:44 –9. ; **meta-analysis**

	Azakié A et al [2]	Bradley SM et al [1]	Mair R et al [5]	Pizarro C et al [4]	Mable WT et al [11]	Total
Discharged from hospital (n)						
NW-BT	7	20	13	14	18	72
NW-RVPA	12	17	13	33	9	84
Crossover (n)						
NW-BT		22 <sup>b</sup>			20 <sup>b</sup>	
NW-RVPA		15 <sup>b</sup>			7 <sup>b</sup>	
Mortality (n)						
NW-BT	1	4	3	2	4	14
NW-RVPA	1	0	0	1	0	2
Mortality (%) <sup>a</sup>						
NW-BT	14.3	20.0	23.1	14.3	22.2	19.4
NW-RVPA	8.3	0	0	3.0	0	2.4
Mortality with crossover (%) <sup>a</sup>						
NW-BT		18.2 <sup>b</sup>			20.0 <sup>b</sup>	
NW-RVPA		0			0	
Nonsurgical candidate/transplant						
NW-BT	1	0	0	0	0	1
NW-RVPA	0	0	0	0	0	0
Awaiting stage II (n)						
NW-BT	0	0	0	0	NR	
NW-RVPA	4 <sup>b</sup>	3 <sup>b</sup>	0	0	NR	
Time to stage II (months)						
NW-BT	Median	NR	NR	3.1	~6	6.3
NW-RVPA	Median	NR	NR	3.4	~6	6.3
Completed stage II (n)						
NW-BT		5	18	10	12	NR
NW-RVPA		7	12	13	32	NR

# Mid-term outcomes on subsequent palliation

*Dr. Cua's meta-analysis*



## ○ Cua et al. Suggested

- ❖ The larger conduits used for the NW-RVPA, less likely to occlude
- ❖ RV/PA conduit procedure could be the higher diastolic blood pressure

## ○ Study's own limitation

- ❖ Overall improvement in quality of care for patients cannot be excluded
- ❖ Two cohorts were from different time periods
- ❖ Etiologies of inter-stage deaths were not documented  
→ so they could only speculate on causes.



## **Ghanayem et al.'s report**

*J Thorac Cardiovasc Surg 2003;126: 1367-77.*



# Mid-term outcomes on subsequent palliation

## Ghnanayem's reports – Home surveillance



### Home surveillance program prevents interstage mortality after the Norwood procedure

N. S. Ghnanayem, MD<sup>1,h,t</sup>  
G. M. Hoffman, MD<sup>2,d</sup>  
K. A. Mussatto, BSN<sup>3,j</sup>  
J. R. Cava, MD<sup>3,c</sup>  
P. C. Frommelt, MD<sup>3,t</sup>  
N. A. Rudd, MSN<sup>2</sup>  
M. M. Steltzer, MSN<sup>2</sup>  
S. M. Bevandic, BSN<sup>2</sup>  
S. J. Frisbee, MS<sup>1</sup>  
R. D. B. Jaquiss, MD<sup>4</sup>  
S. B. Litwin, MD<sup>4</sup>  
J. S. Tweddell, MD<sup>4</sup>

See related editorial on page 1257.

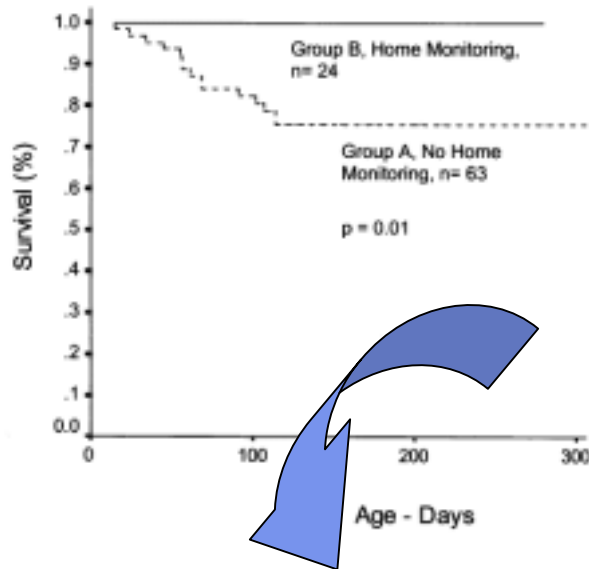
**Objective:** To determine whether early identification of physiologic variances associated with interstage death would reduce mortality, we developed a home surveillance program.

- **All discharged patient with SPA shunt (not RV/PA conduit)**
- **Daily log of weight and arterial oxygen saturation at home**
- **Contact their physician**
  - ❖ Arterial oxygen saturation < 70%
  - ❖ Acute weight loss > 30 g in 24 hours
  - ❖ Failure to gain at least 20 g during a 3-day period.

# Mid-term outcomes of palliation

“Hawthorne effect” ; described by Elton Mayo of the Harvard Business School ;

- ❖ The overt enlistment of the family in the pre-stage II home monitoring care of their child → increased the sophistication level of postoperative and pre-discharge teaching.
- ❖ Make the family feel more empowered to contribute positively to the care of their child.
- ❖ Minirevolution with a SPA procedure of Norwood op.



- **Inter-stage mortality ; 15.8% (n = 9/57) without the home surveillance and 0%(n = 0/24) with home surveillance (P = .039)**  
→ This result emphasized the scrupulous follow-up of the discharged patients.
- **HLHS who has undergone S1P appears to have limited growth potential with a plateau phase of weight gain after 150 days**  
→ This poor growth potential after 4 to 5 months calls into question the value of routinely delaying S2P beyond 5 months of age.

# Mid-term outcomes on subsequent palliation

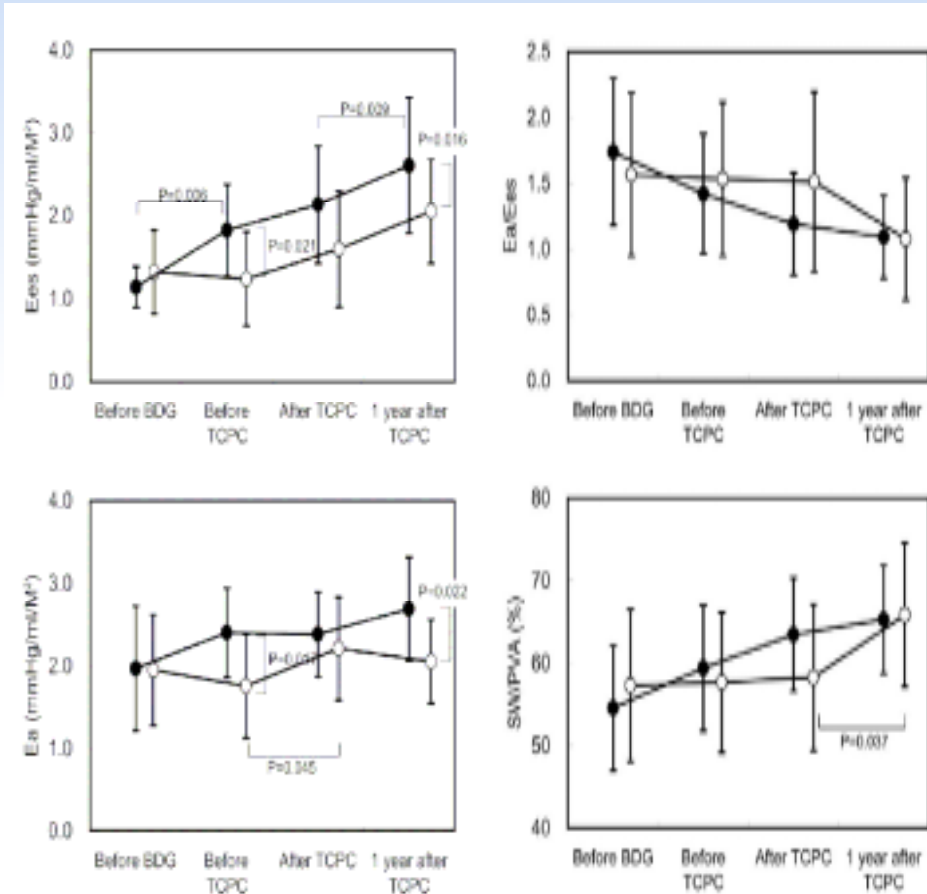
## Ventricular performance



### Yoshihisa Tanoue,

*Ann thorac surg 2004;78:1965-71.*

- ❖ Between april 1992 and august 2003
- ❖ SPA shunt group (n=11) & RV/PA conduit (n= 10)
- ❖ Comparing the ventricular performance after BCPS & TCPC in HLHS patients
- ❖ Operative survival ; SPA shunt - 78.3%, RV/PA conduit - 79.5%
- ❖ Inter-stage mortality ; SPA shunt - 27.8%, RV/PA conduit - 19.4%
- ❖ **Ees (contractility) & Ea ; RV/PA conduit group**
- ❖ **No difference in ventricular efficiency (Ea/Ees), SW/PVA in both group**
- ❖ **? Only SPA shunt has been performed until 1998 → historical control group**



# Mid-term outcomes on subsequent palliation

## Summary of other studies



Studies (SPA/RVPA)	Pizarro et al. (2003) (20/36)	Bradley et al. (2004) (25/19)	Azaki et al. (2004) (8/13)	Mair et al. (2003) (18/14)	Rumball et al. (2005) (18/12)	Januszewska et al. (2005) (27/51)
<b>Mortality before stage II operation</b>	0.14 / 0.03 Not difference	18 / 0 $p=0.1$	0.25 / 0.15 Not difference	23 / 0 no comment	---	?? / 1.9
			79% (1 year, total pts.) Not difference			
<b>PA index</b>	---	---	---	---	Combined PA diameter ; larger in RV/PA conduit $p=0.05$ LPA stenosis in SPA shunt	Both PA ; larger in RV/PA conduit $P < 0.05$
<b>Remarks</b>	---	---	---	dp/dt is better in RV/PA conduit	No difference ; time interval to cath., Qp/Qs , Hb level	---

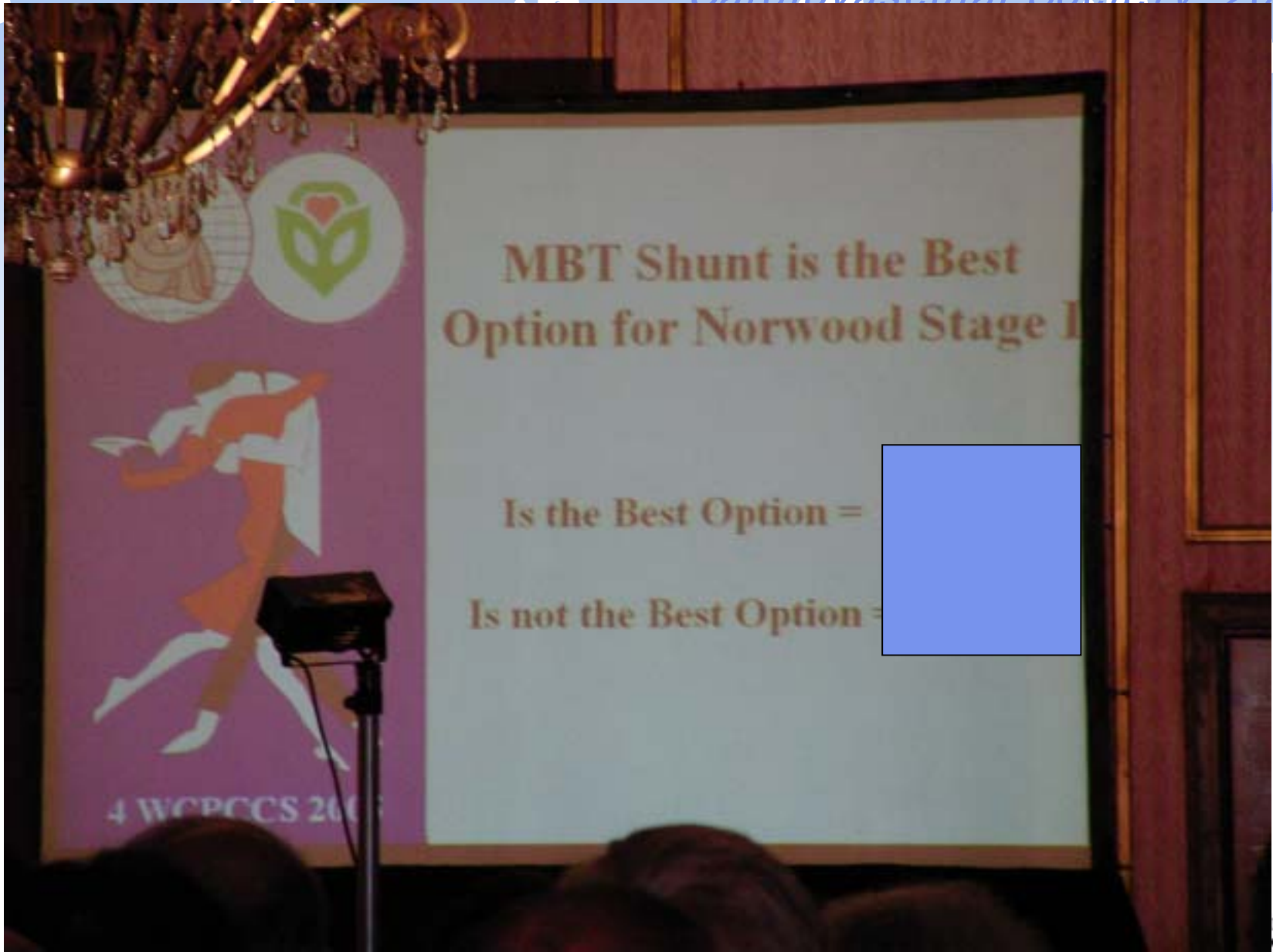
Dr. Pizarro ;  
0.13(6/46) .vs. 0.02 (1/50) ( $p < 0.01$ )  
4<sup>th</sup> WCPCCS , Argentina

# Summary



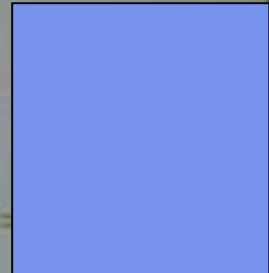
- Theoretical advantages of new strategies may not produce true benefit. (Extracardiac Fontan, Continuous cerebral perfusion , Hybrid stage I , RV/PA conduit )
- RV/PA conduit procedure shows stable hemodynamics in early postoperative period.
- No significant difference was found in mid-term survival between two procedure.
- Patient related risk factors would be more significant than shunt type in overall outcomes.
- Newer strategies must be evaluated over time by rigid analysis and data collection , and (ideally) contemporaneous control groups.





# MBT Shunt is the Best Option for Norwood Stage I

Is the Best Option =



Is not the Best Option =



4 WCPCCS 2005

4<sup>th</sup> WCPCCS in Argentina

*MBT shunt is the best option for Norwood stage 1 Palliation ?*

